

# Service-oriented Architecture – Experiences, Ideas, Innovations

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**32<sup>nd</sup> International Conference**  
**ISAT 2011**

Szklarska Poręba, Poland  
September 18-20, 2011

# That's my life



...

**1991 -1997**      **Professor Software Engineering and Information Systems,  
University of Leiden (NL)**

**since 1997**      **Professor Information Systems, University of Paderborn (D)**  
- Research Topics: MDA, UML, DSL, SOA, SPL, SQA, MBT, ...  
- currently 19 PhD students, > 200 scientific publications



**since 2005**      **Chairman of the Board of Directors, s-lab (Software Quality Lab),  
PPP-institute, University of Paderborn**



**since 2005**      **Scientific Director Capgemini, CSD Research, Munich**



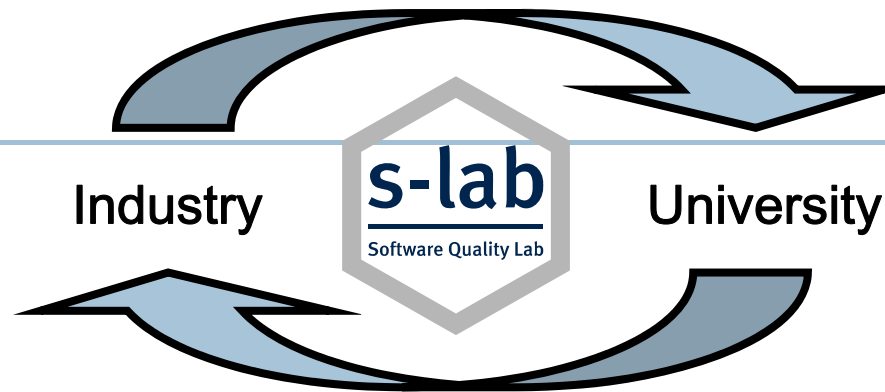
**since 2011**      **Collaborative Research Center „On-the-Fly Computing“,  
funded by Deutsche Forschungsgemeinschaft**



- **Software Engineering competence & technology transfer**
- **Objective: improved quality of industrial software development**



- **Impact on academic research and university education**
- **Prerequisite: scientific relevance**



**Prof. Dr. Gregor Engels**  
Information Systems



**Prof. Dr. Uwe Kastens**  
Programming Languages



**Prof. Dr. Hans Kleine Büning**  
Knowledge-based Systems



**Prof. Dr. Franz J. Rammig**  
Embedded Systems



**Prof. Dr. Wilhelm Schäfer**  
Software Engineering

- 20 PhD students
- Funding: more than 5 Mio Euro (since 2005)

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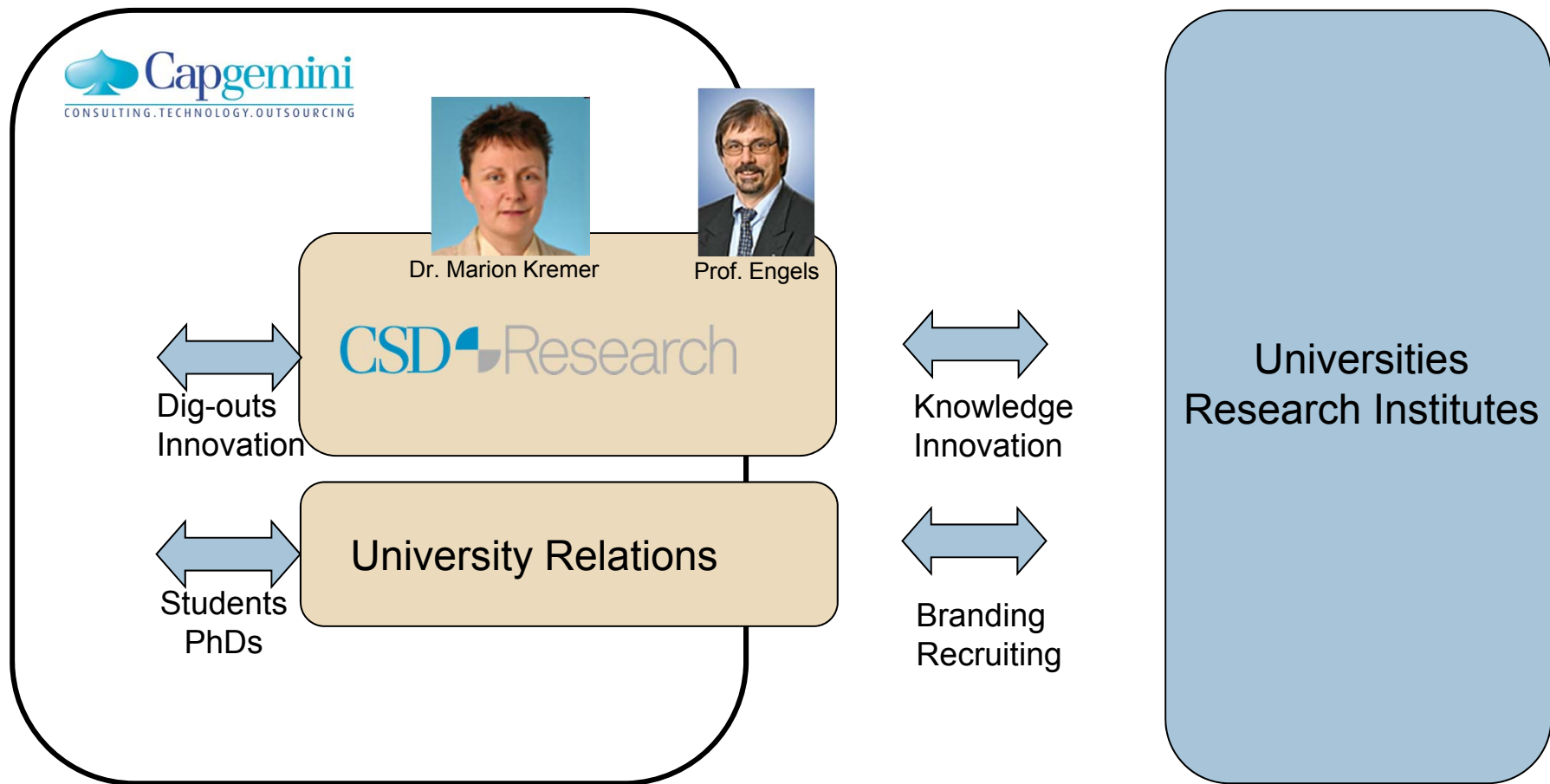
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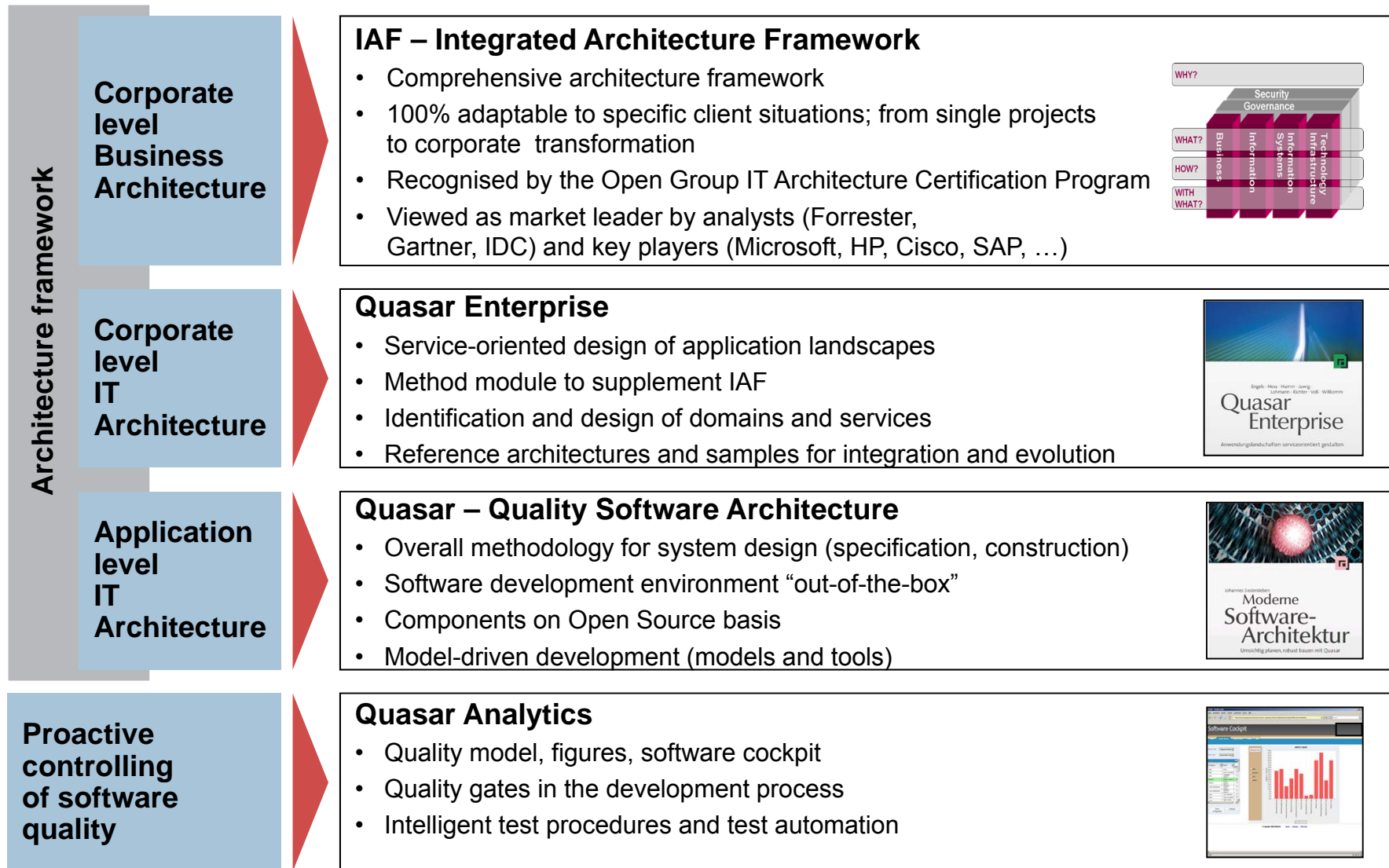
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# Capgemini - CSD Research / University Relations



# Our architecture methods provide comprehensive, proven solution templates at all levels of a project



# Motivation

## Role of Capgemini CSD Research

Projects
<ul style="list-style-type: none"><li>• Development of tailored software solutions</li><li>• Implementation and roll-out of standard software</li><li>• System integration</li></ul>

dig-out best practices  
and generalize



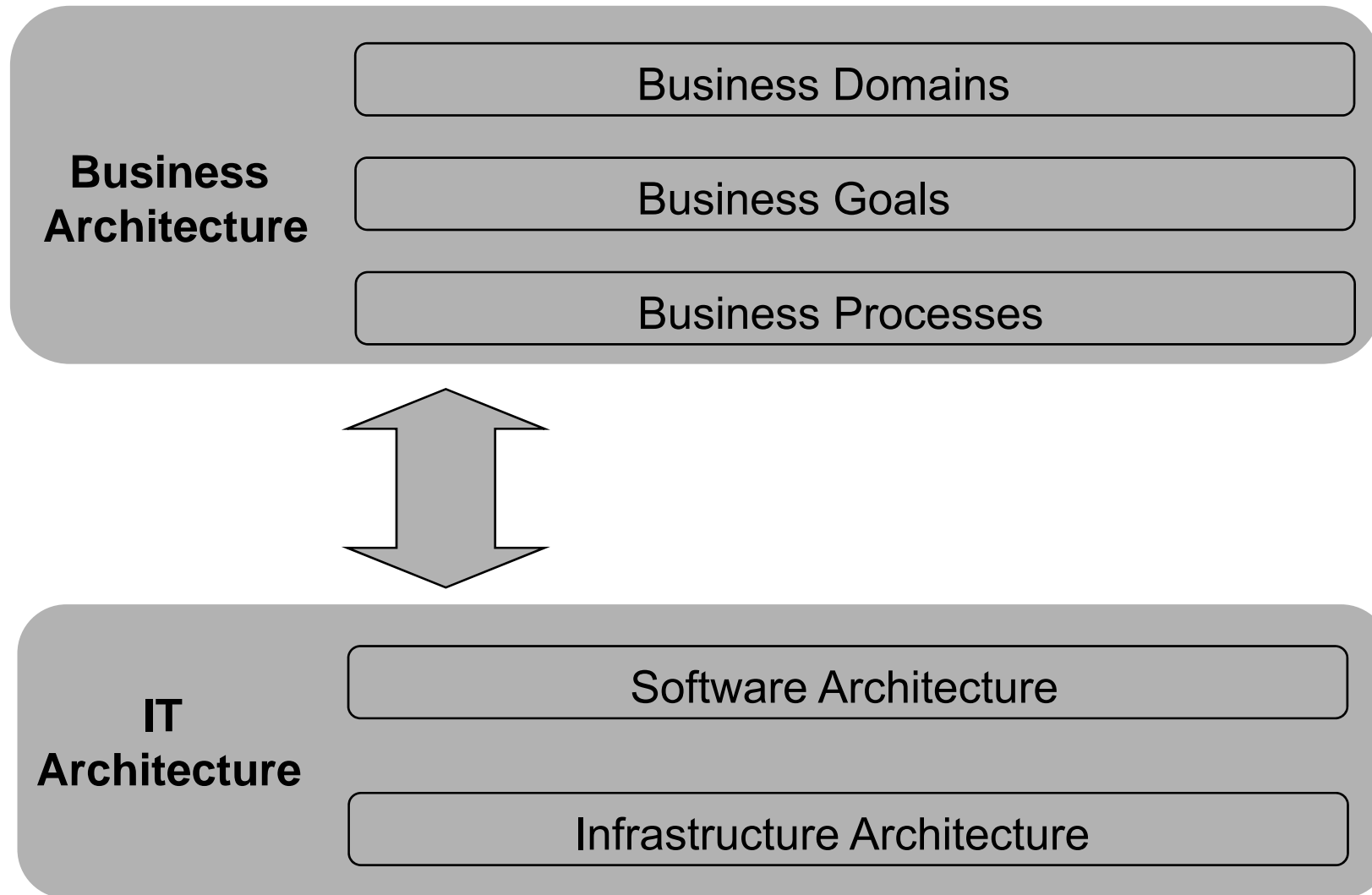
roll-out and  
teach

Software Engineering Support
<p>Engels · Hess · Humm · Juwig · Lohmann · Richter · Voß · Willkomm</p> <h1>Quasar Enterprise</h1> <p>Anwendungslandschaften serviceorientiert gestalten</p> <p>sd&amp;m dpunkt.verlag</p>

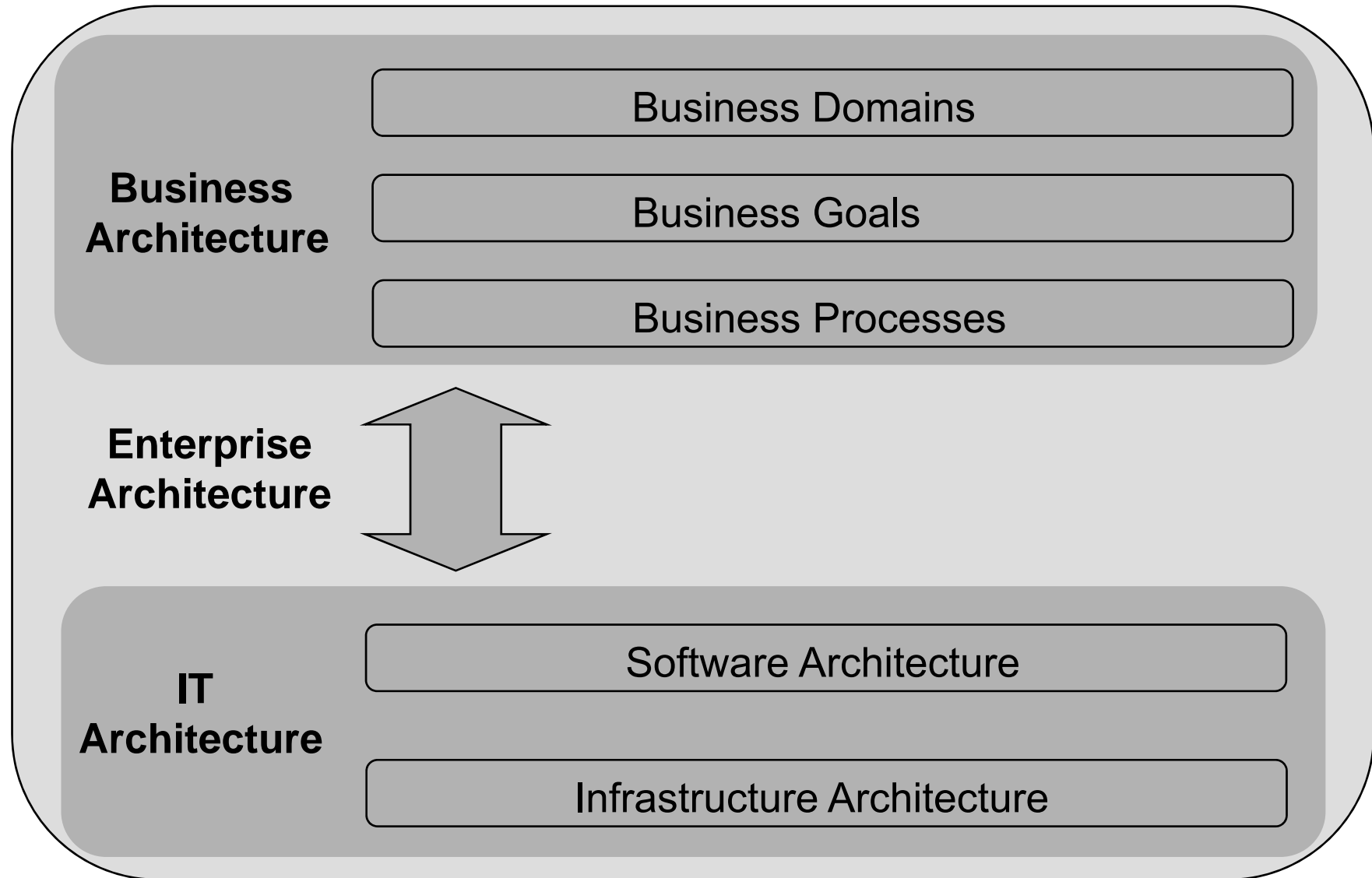


# Business meets IT

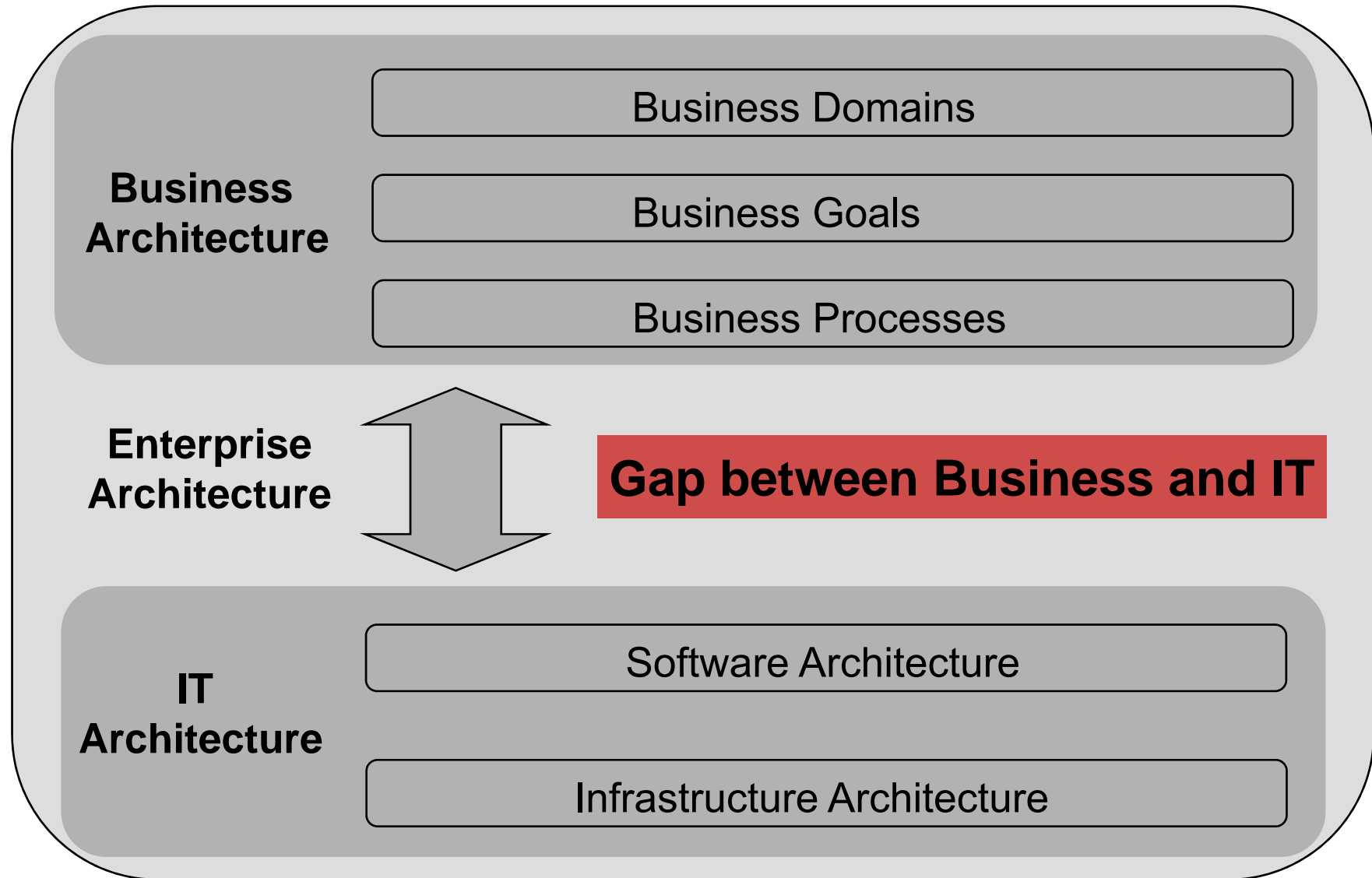
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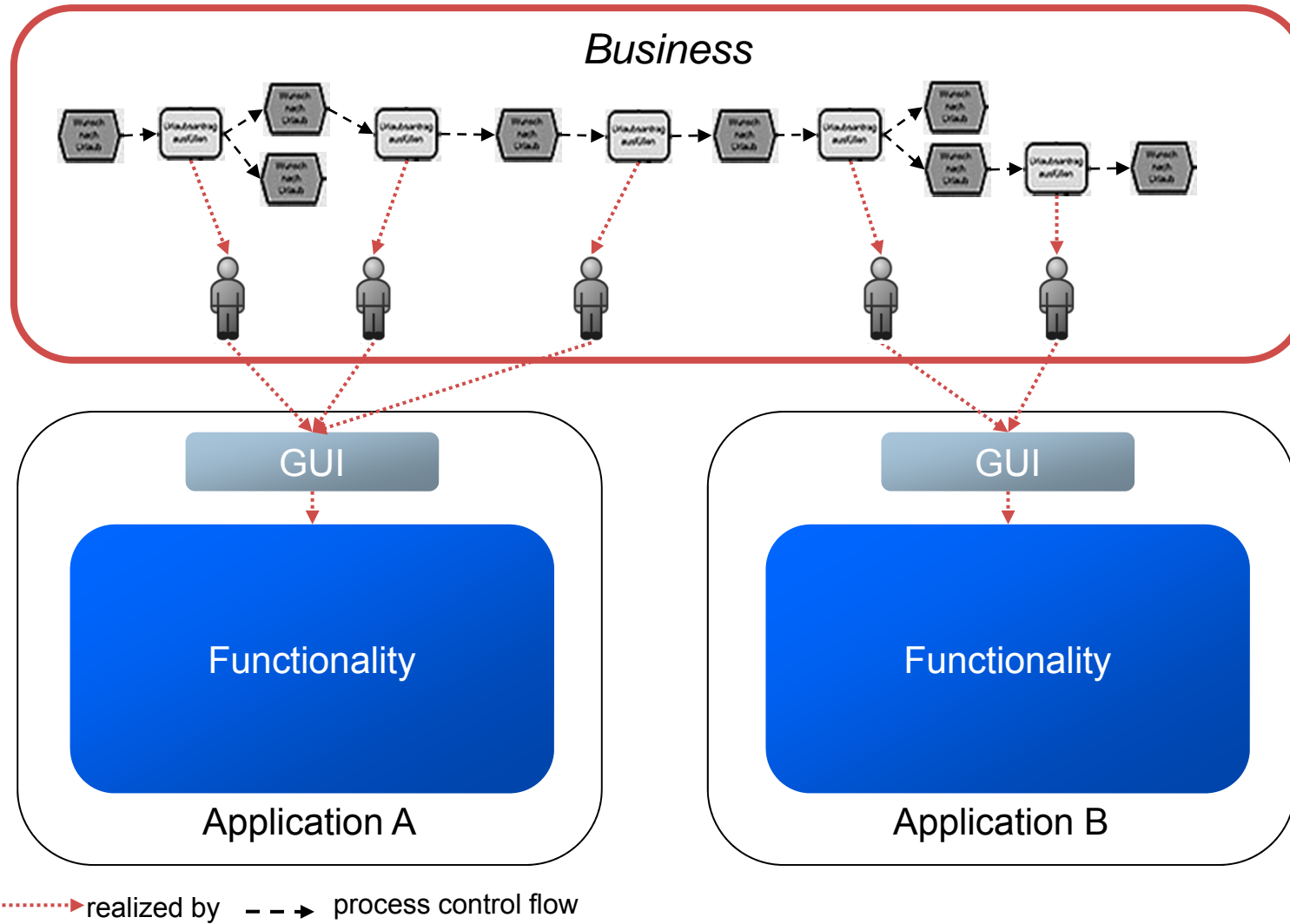
# Business meets IT



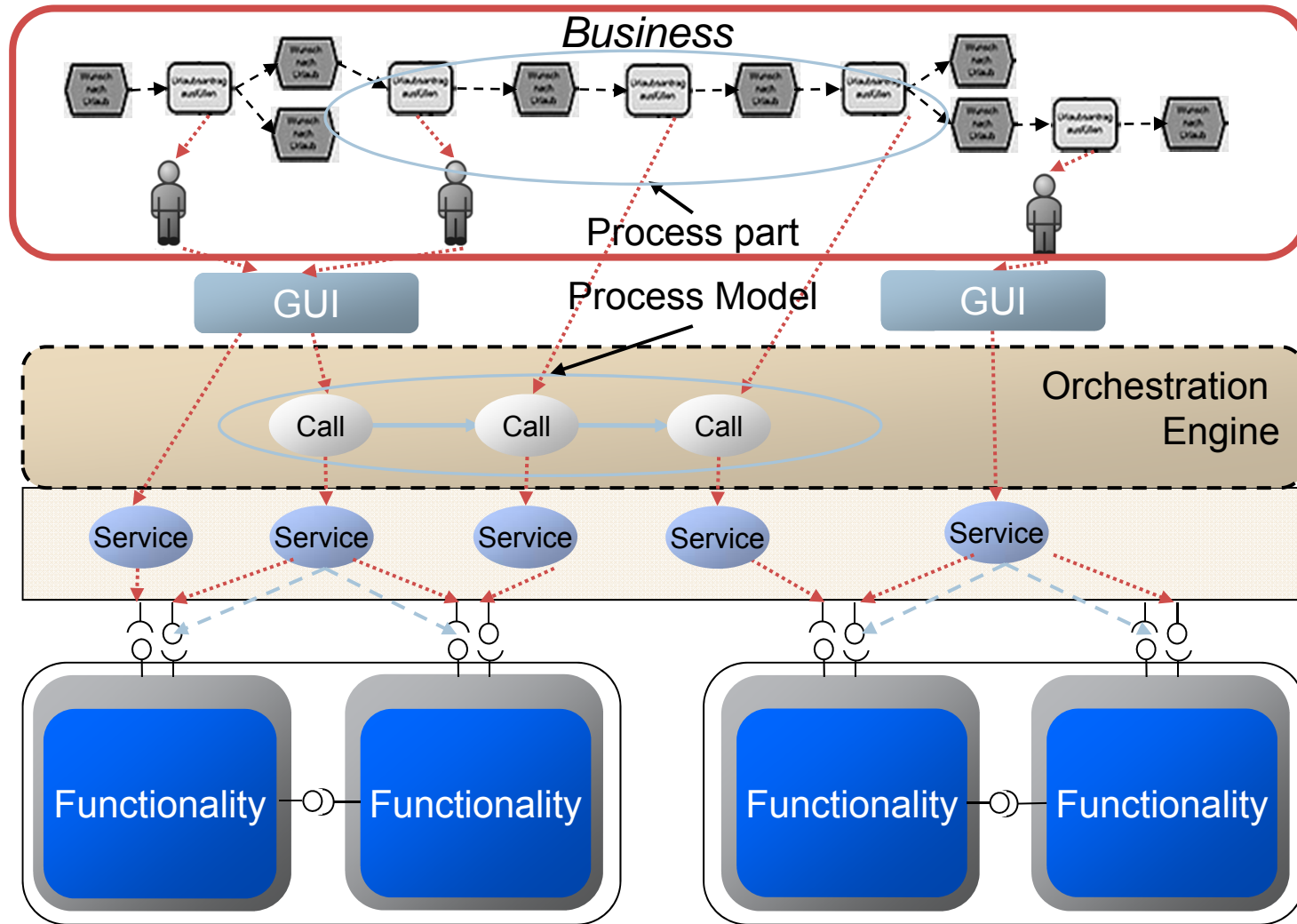
# Business meets IT



# Monolithic Applications

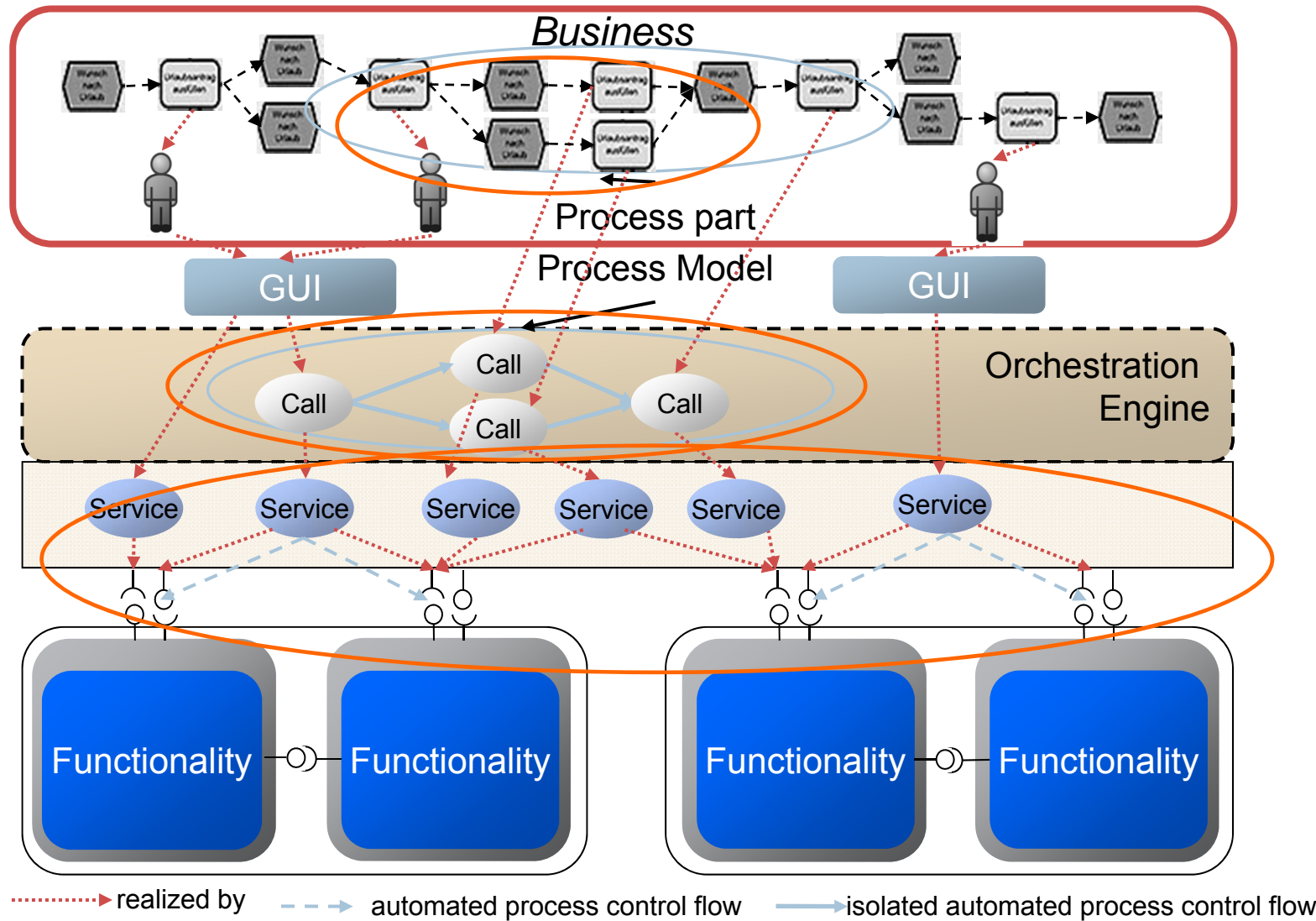


# Service Orchestration by Interpretation of Process Models



..... realized by    - - - automated process control flow    ——— isolated automated process control flow

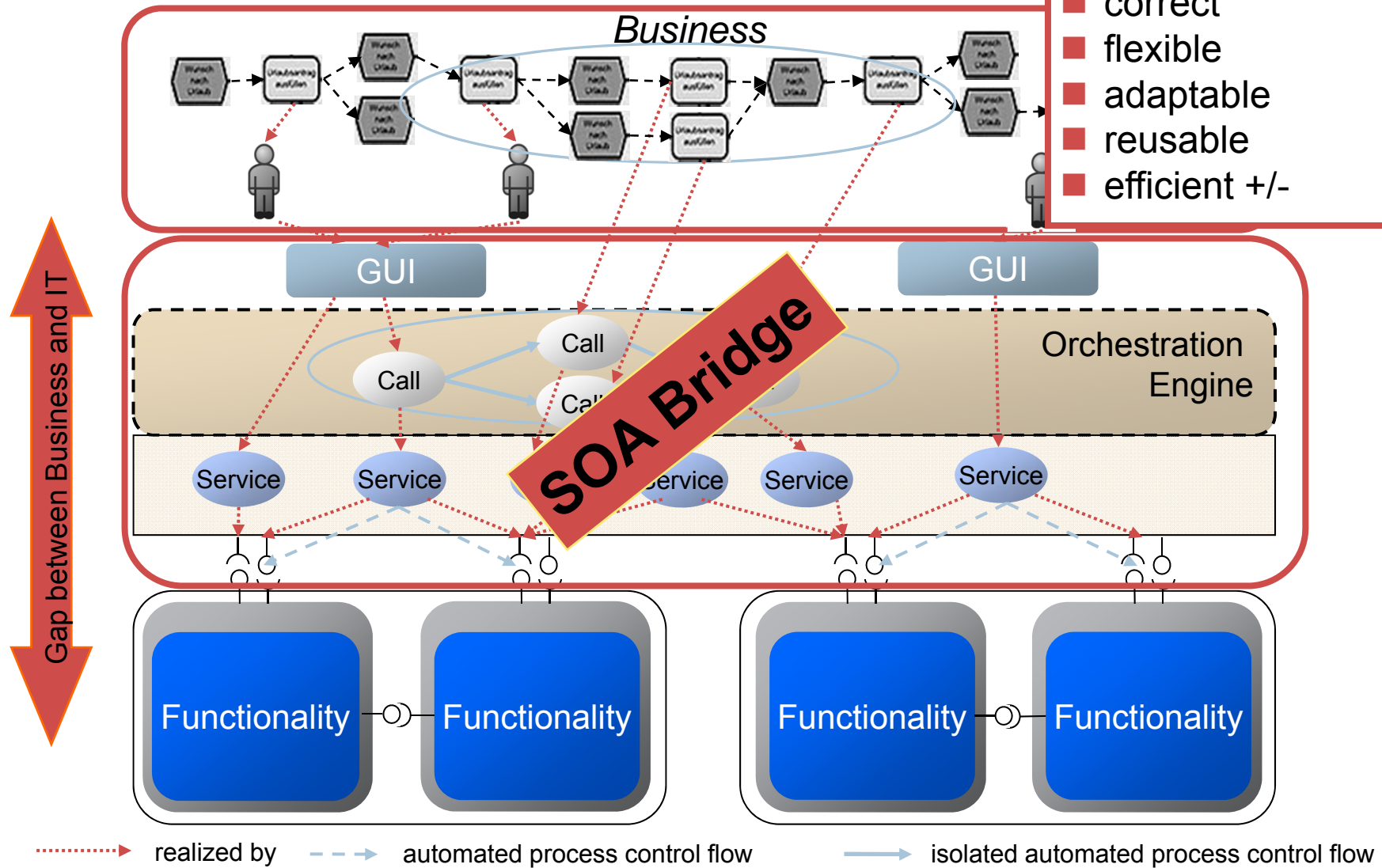
# Business/IT-Alignment by Adaptation of Process Models



# Service-oriented Architecture (SOA)

**Quality Objectives:**

- correct
- flexible
- adaptable
- reusable
- efficient +/-



# Research Challenges

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**Service-orientation** looks like a promising approach to bridge the gap between Business and IT („Business/IT-Alignment“)



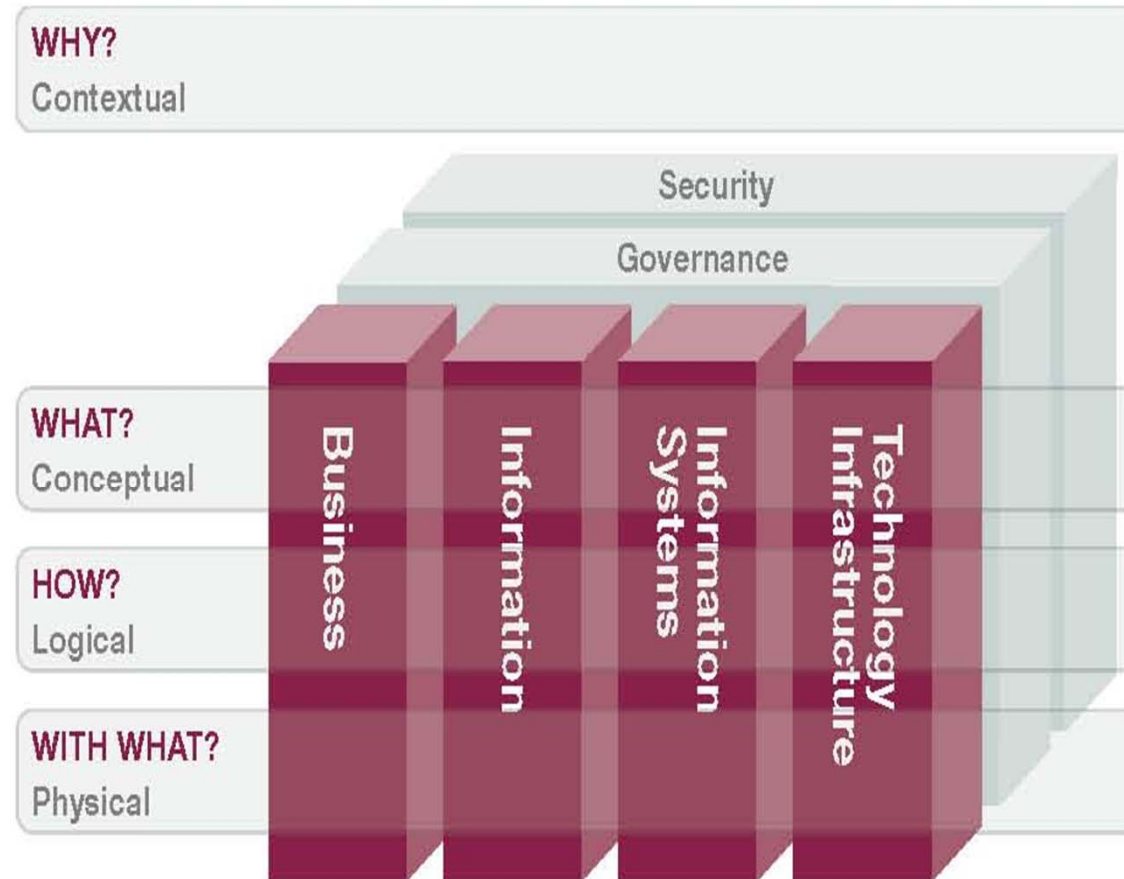
How to find the **right services**?



We need a **method** for developing and maintaining service-oriented enterprise architectures!

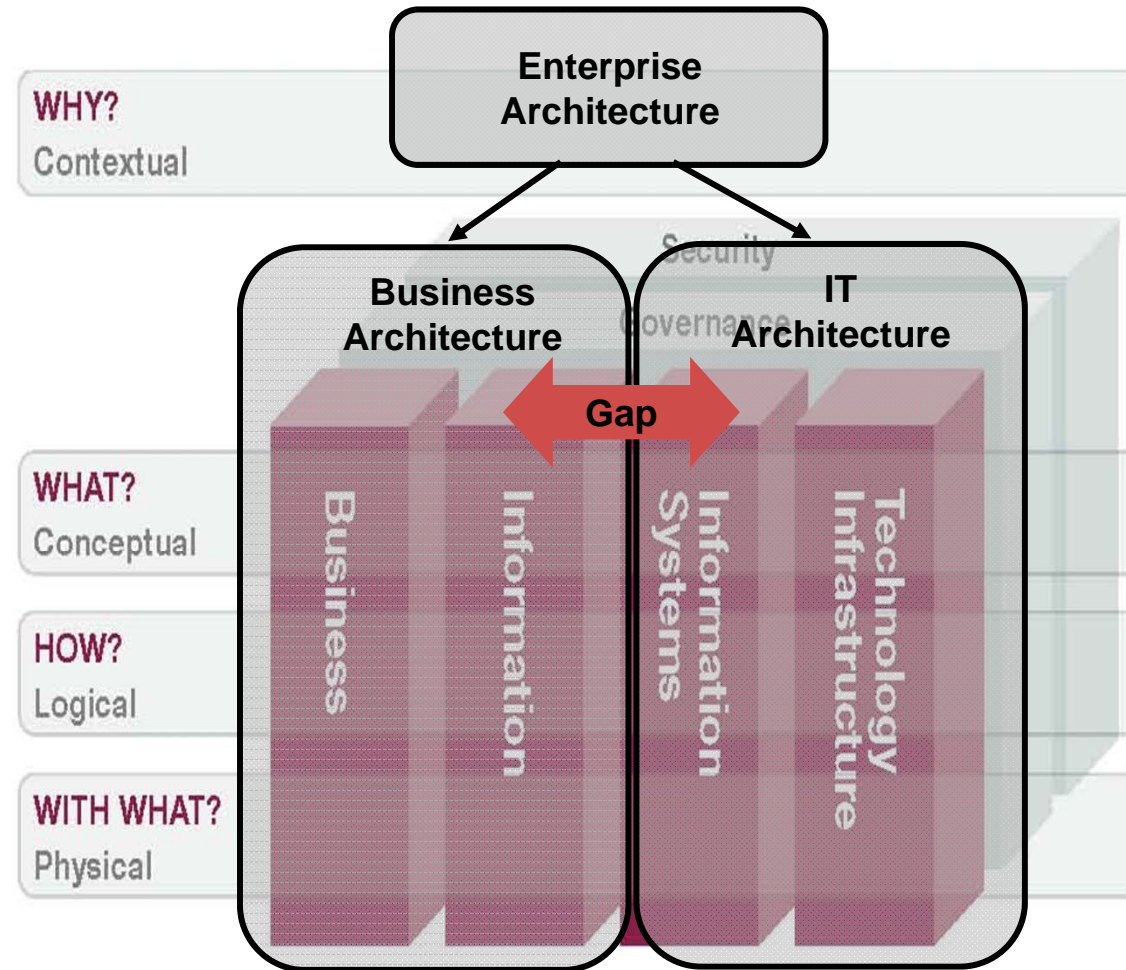


# Integrated Architecture Framework (IAF)



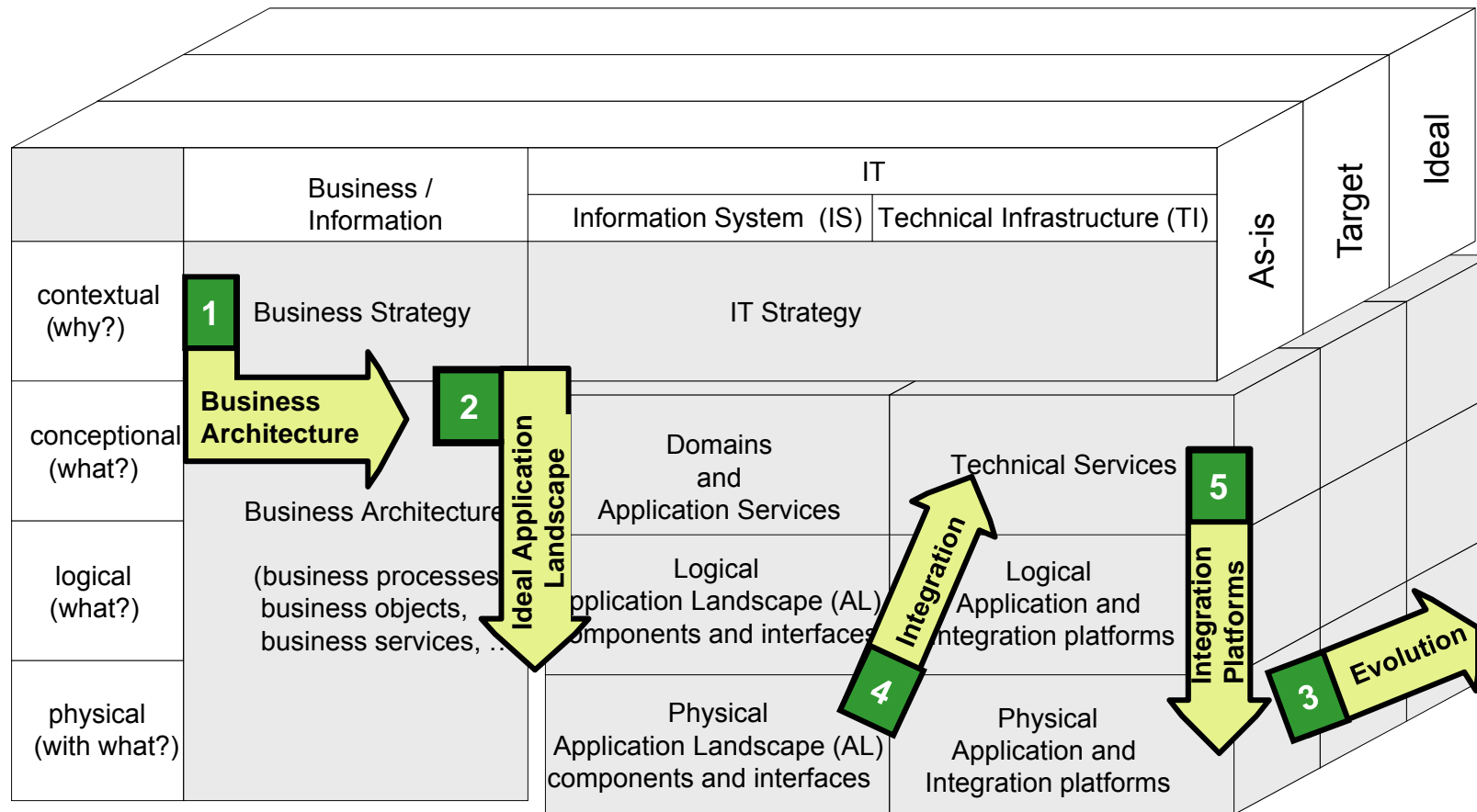
Integrated Architecture Framework (IAF), Capgemini

# Integrated Architecture Framework (IAF)



Integrated Architecture Framework (IAF), Capgemini

# Quasar Enterprise: Roadmap within Refined IAF Structure



# The running example

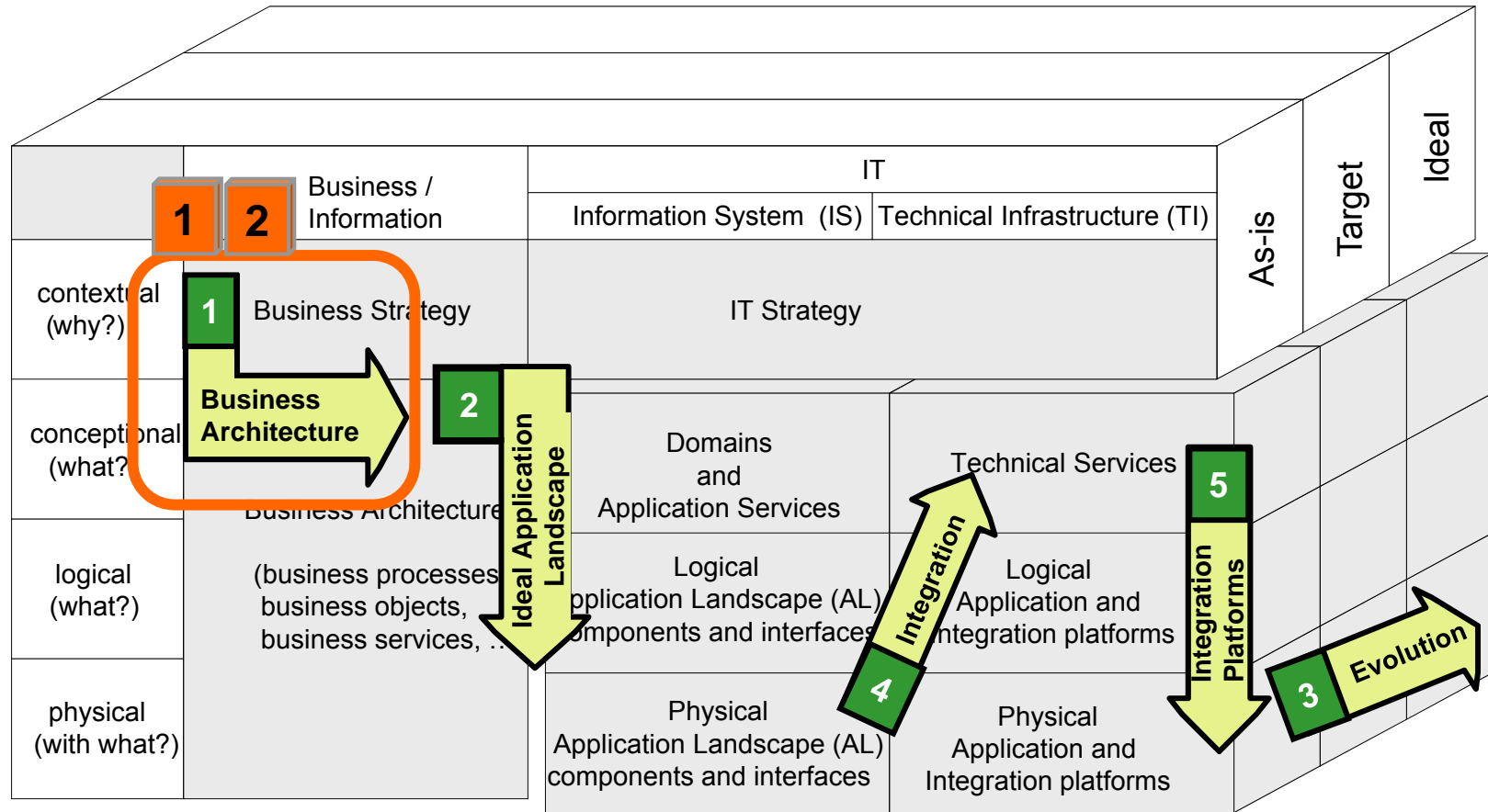
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## ***Christopher Columbus Travel (CCT)***

- fictitious travel agency
- sells package travels and (individual) custom travels



# Quasar Enterprise: Roadmap within Refined IAF Structure



# Quasar Enterprise Method:

## Main Step **1**: Analysis of Business Architecture

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**1** Derivation of Architectural Guidelines

**2** Identification and Refinement of Business Services

**Def.**

### **Business Service**

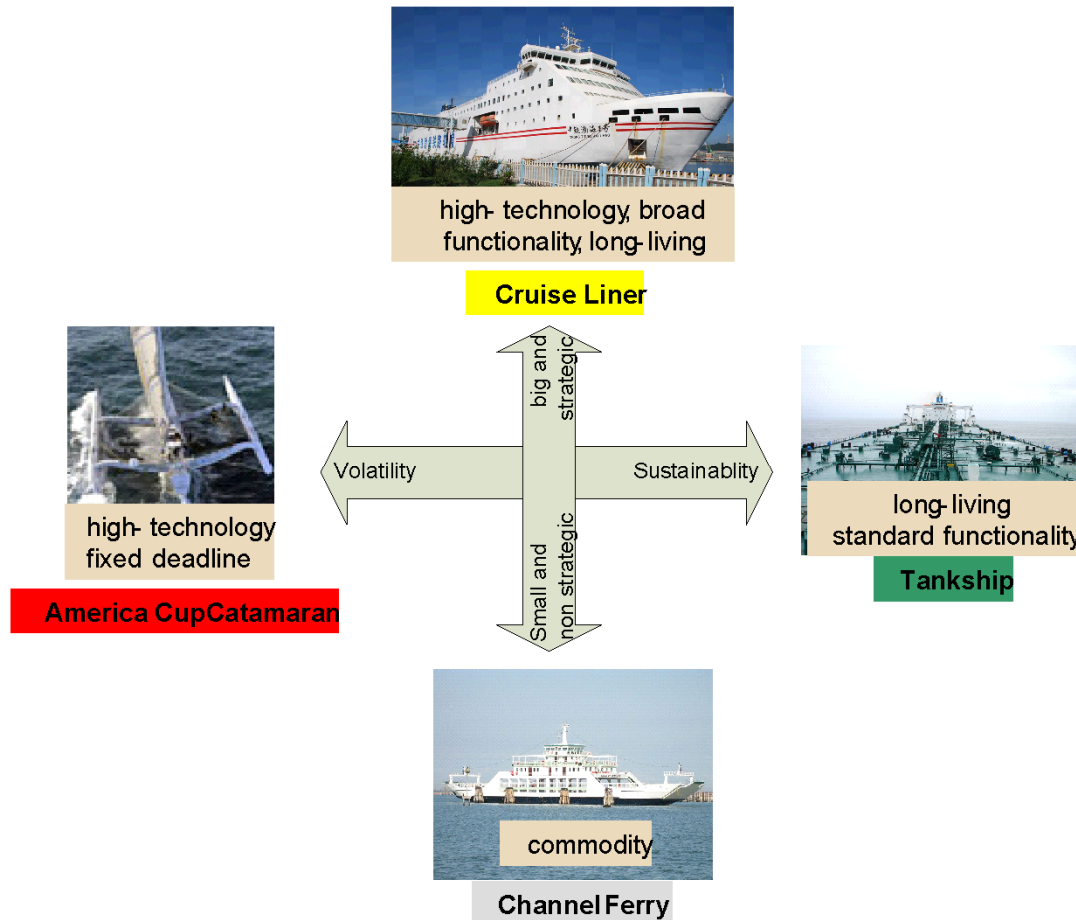
- behavioural element to fulfill a business need
- delivered by a service provider for a service requestor
- described by a contract (in-/output, observable business service actions)

# Quasar Enterprise Method:

## Main Step **1**: Analysis of Business Architecture

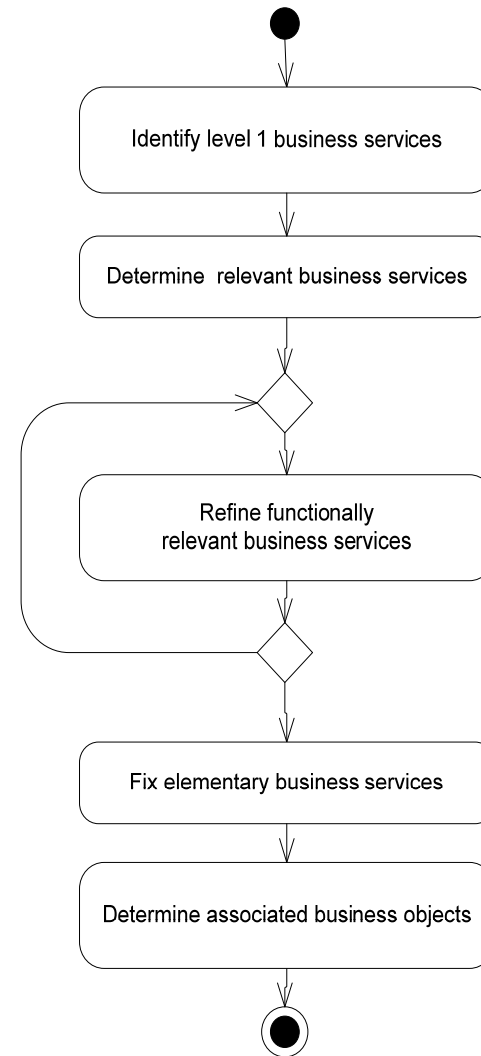
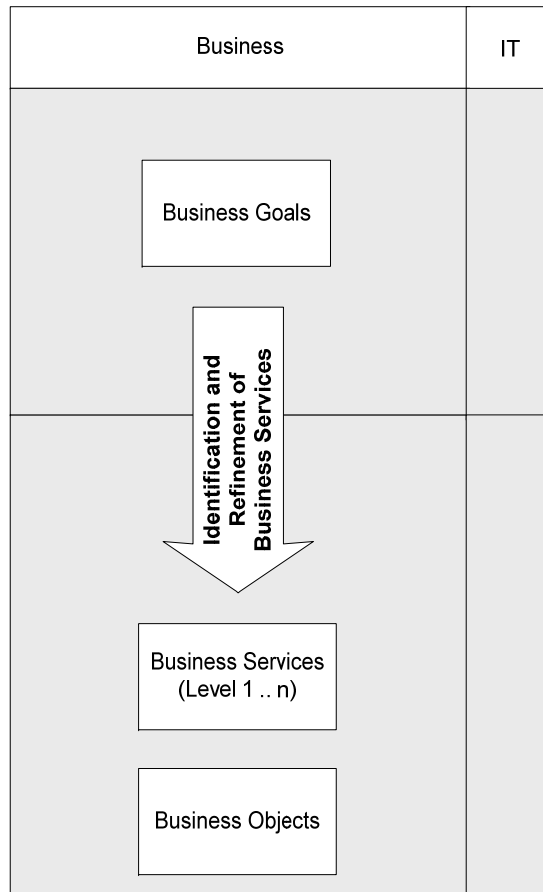
### **1** Derivation of Architectural Guidelines

**eee@Quasar**  
Effectiveness,  
Efficiency and  
Economics of  
Price



# Main Step 1: Analysis of Business Architecture

## 2 Identification and Refinement of Business Services





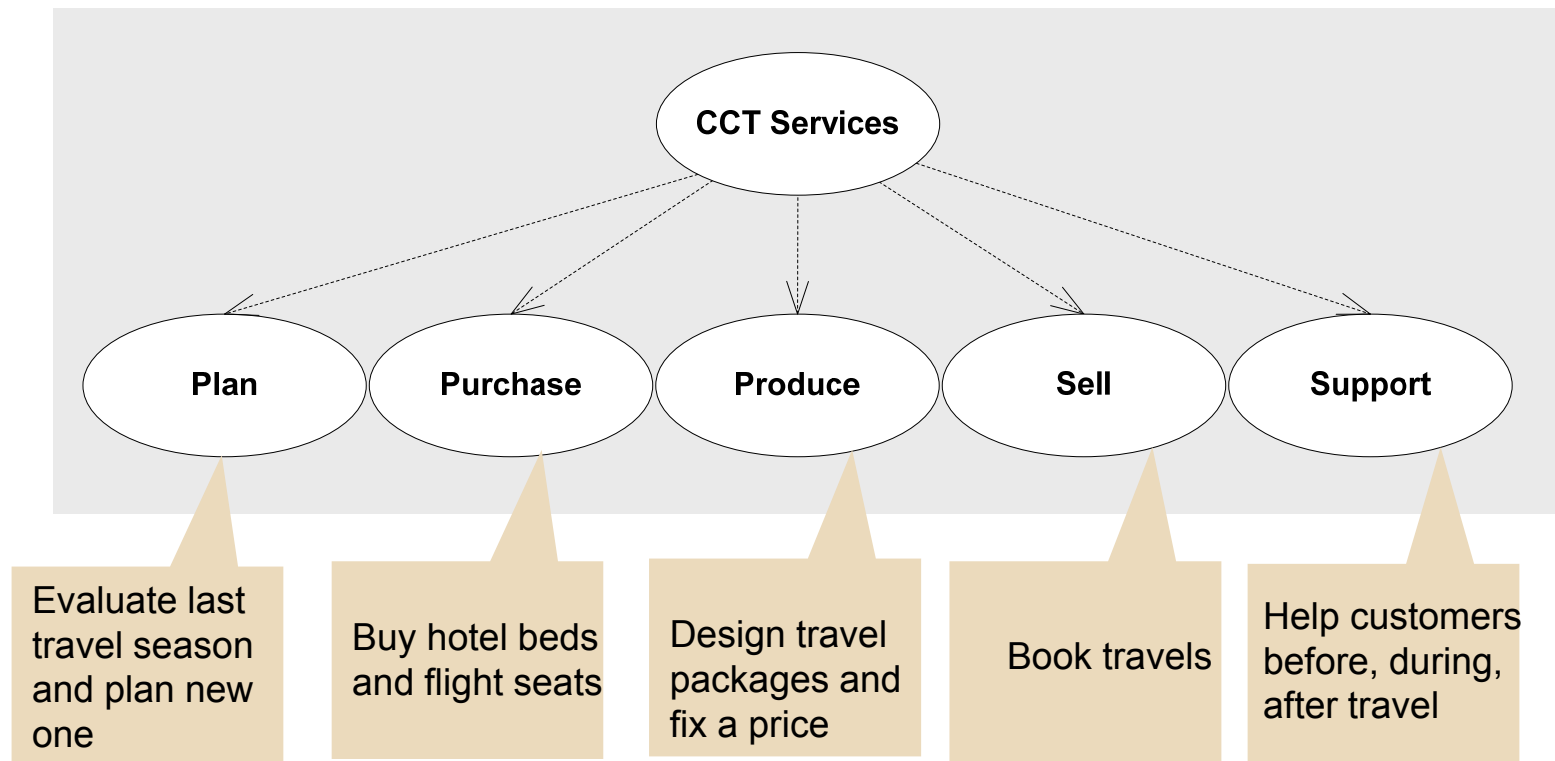
## 2 : Example

### Identify level 1 business services

#### Def.

#### Level 1 business services

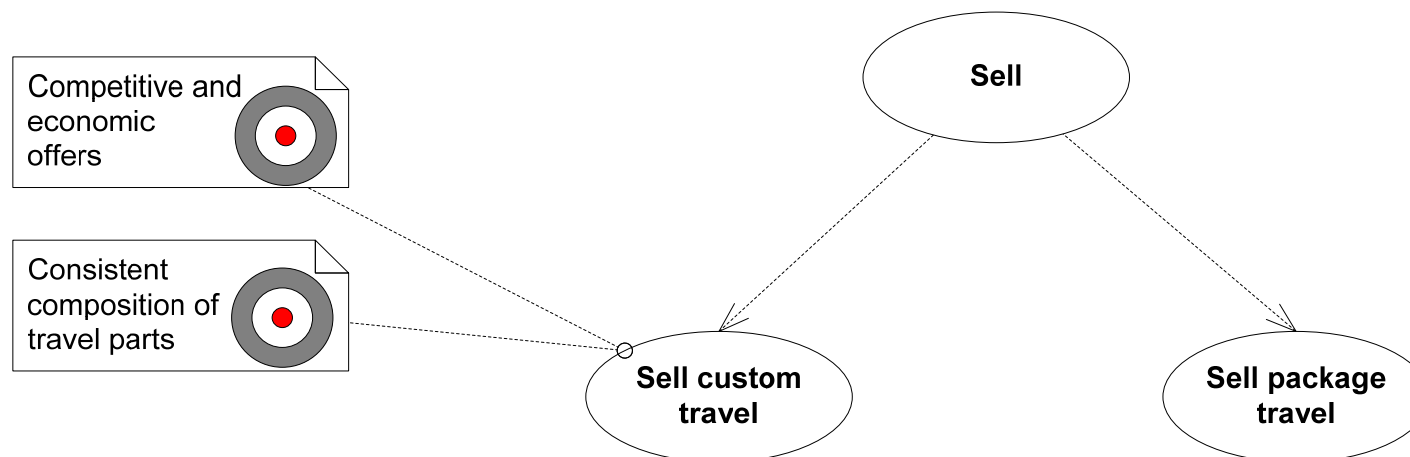
- Internally and externally offered core services of an enterprise to fulfill its business objectives.



## 2 : Example

### Refine business services

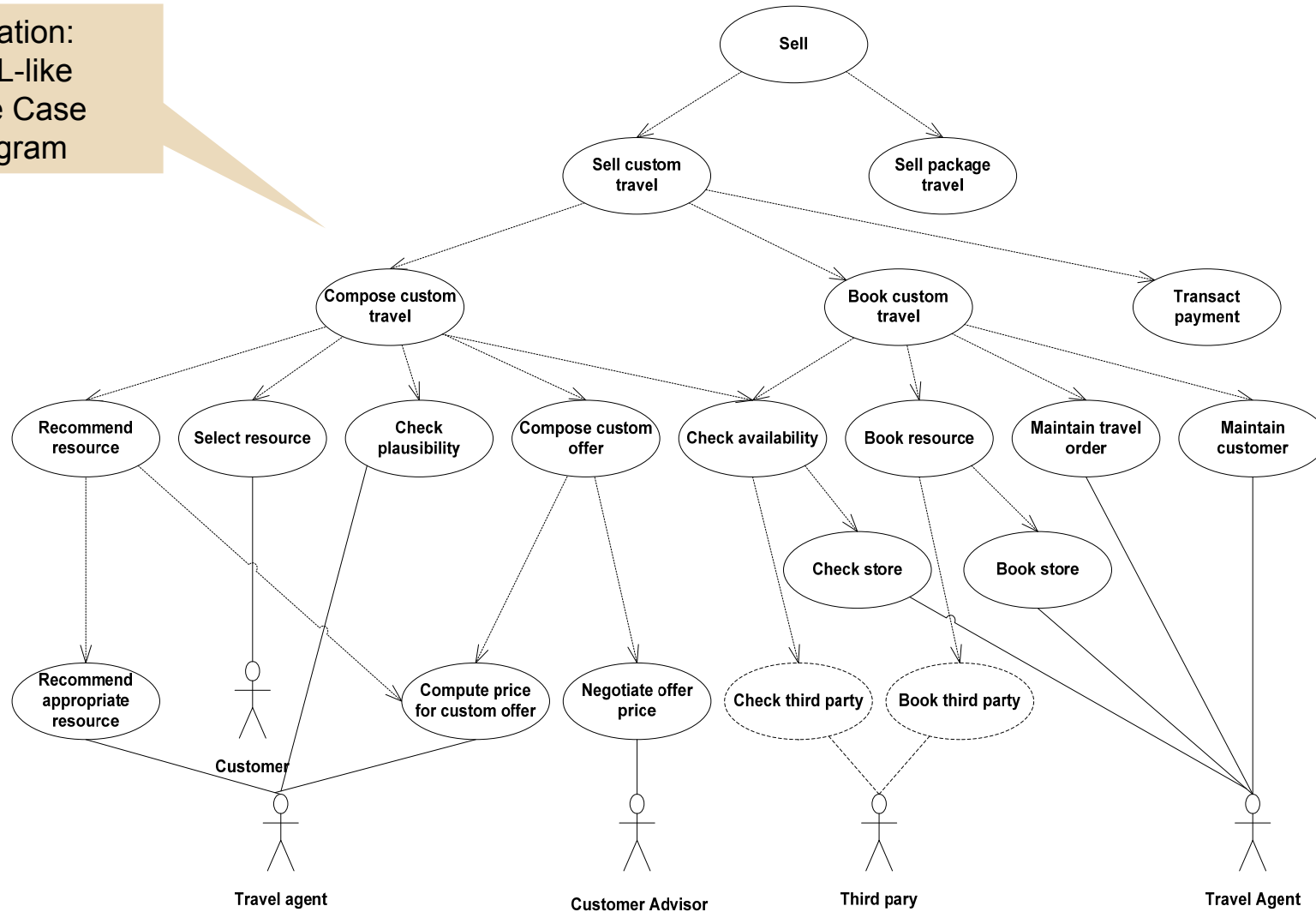
- Functional decomposition in case of
  - multiple actors for a service exist
  - multiple, diverse business goals are supported
  - different product types have to be supported
  - ...



## 2 : Example

### Fix elementary business services

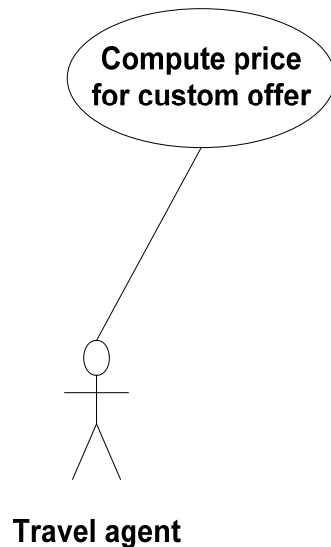
Notation:  
UML-like  
Use Case  
Diagram



## 2 : Example

### Contract description of elementary business service

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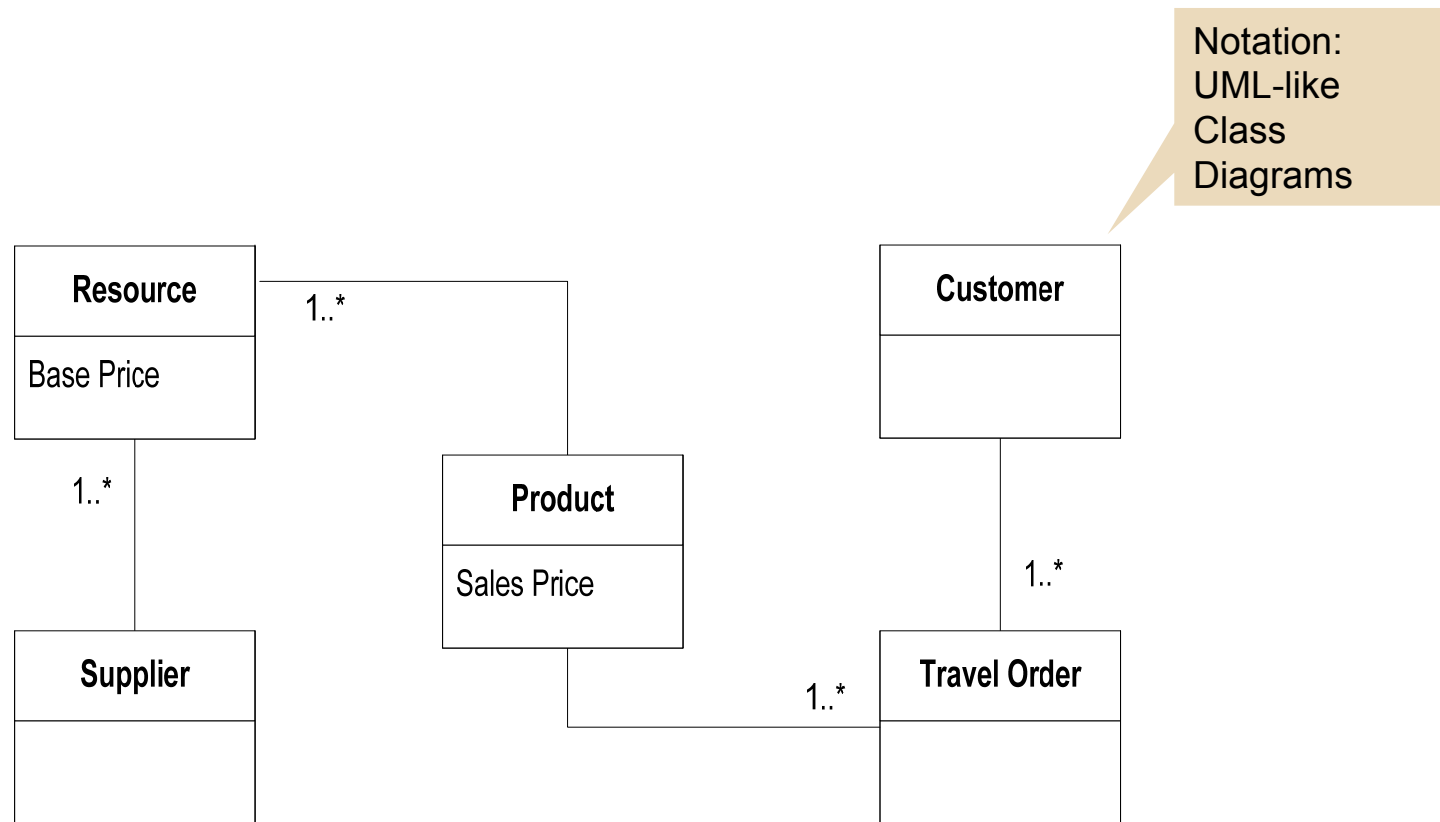


<i>Name</i>	<b>Compute price for custom offer</b>
<i>Service user</i>	<b>Travel agent</b>
<i>Trigger / Preconditions</i>	<b>Price request by travel agent. Custom travel as product already composed, its plausibility has been checked.</b>
<i>Actions and Service Protocol</i>	<b>no protocol, as service consists of exactly one action</b>
<i>Result / Postconditions</i>	<b>Lump sum price for complete custom travel is computed (in Euro). All possible reductions are taken into account.</b>
<i>Non-functional requirements</i>	<b>Response time &lt; 1 s</b>

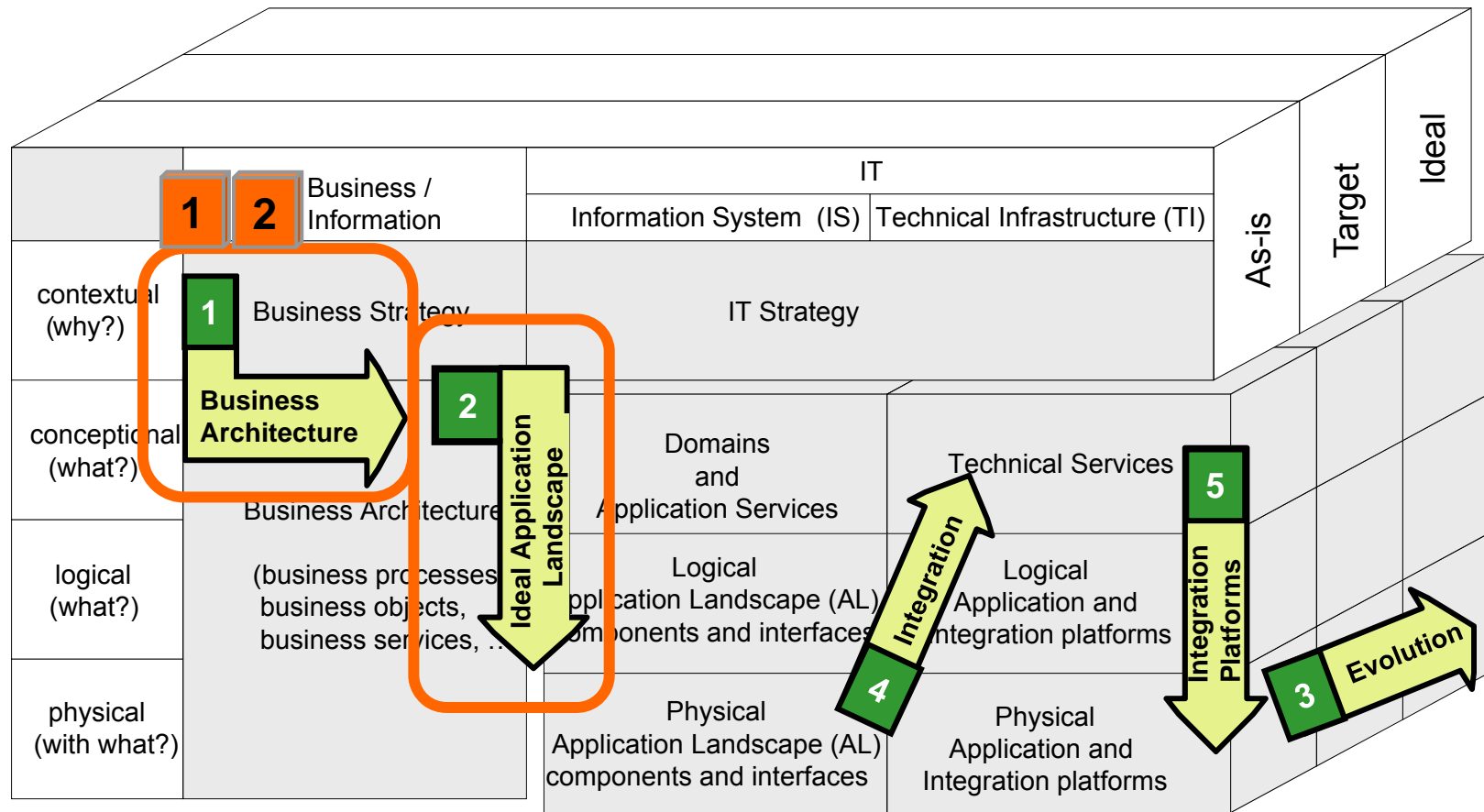
## 2 : Example

### Determine associated business objects

- Derived from business services:  
required and provided business objects as in-/outputs of services



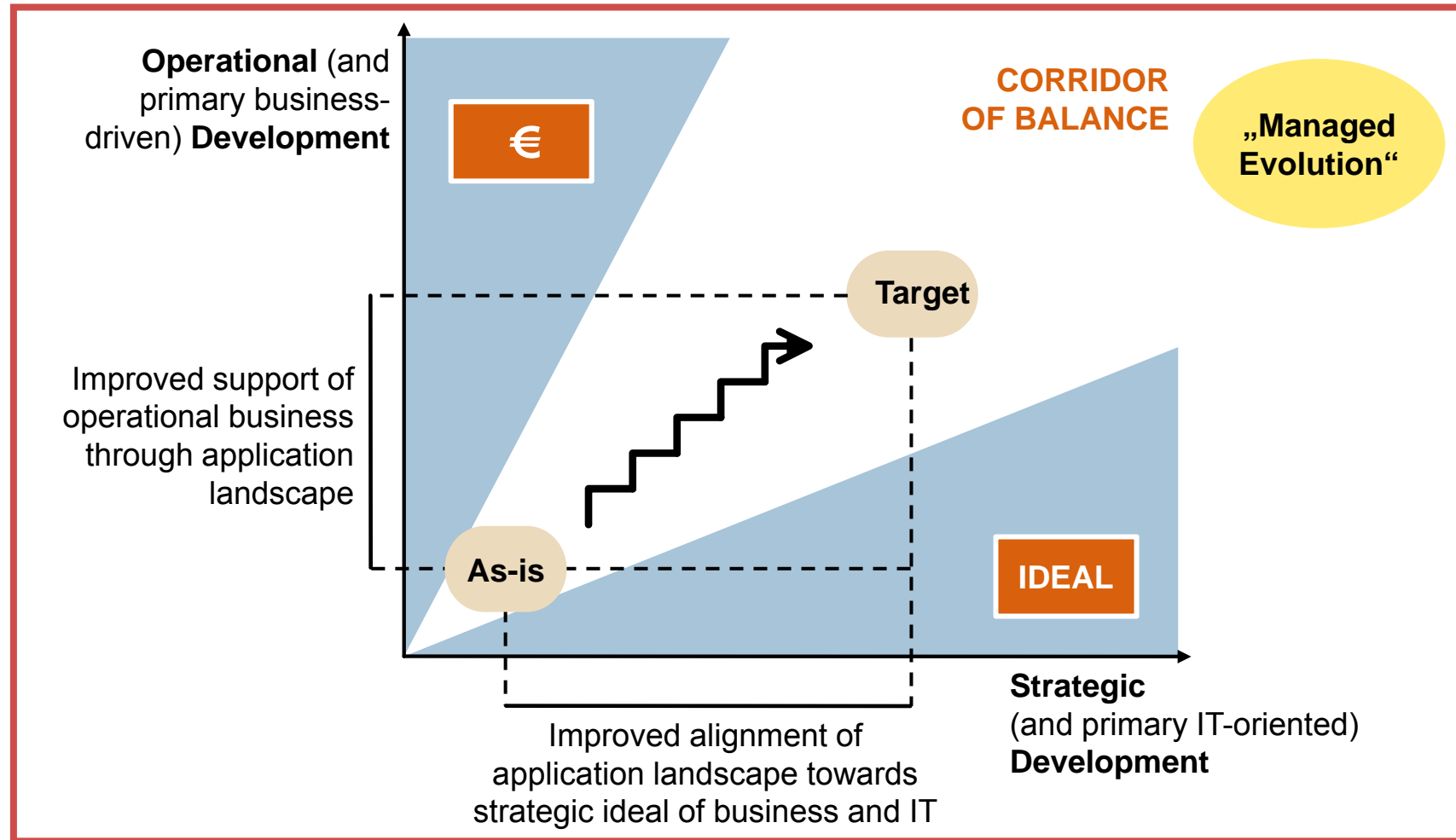
# Quasar Enterprise: Roadmap within Refined IAF Structure



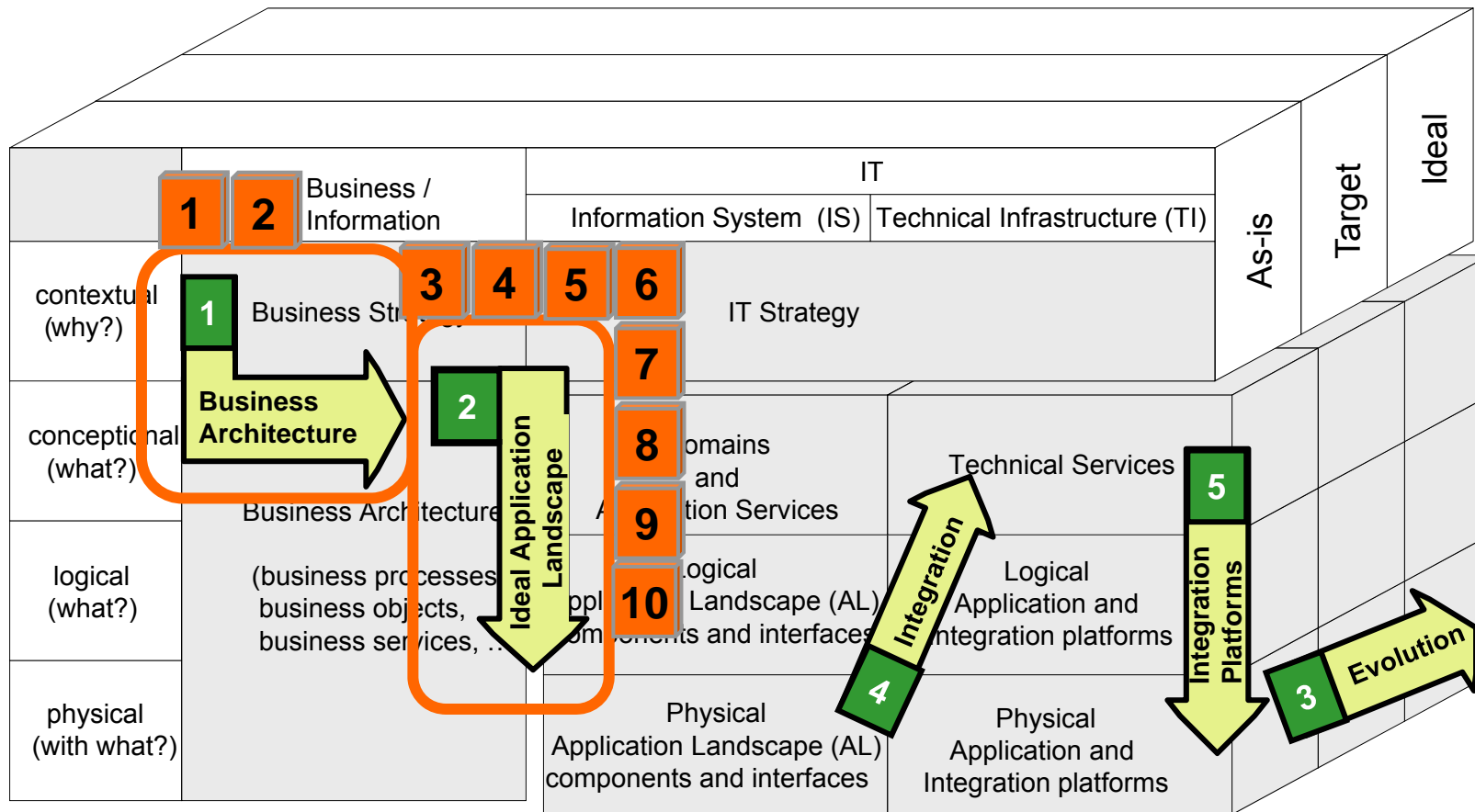
# Quasar Enterprise Method:

Why ideal?

## Main Step 2 : Definition of an Ideal Application Landscape



# Quasar Enterprise: Roadmap within Refined IAF Structure





## Quasar Enterprise Method:

### Main Step **2**: Definition of an Ideal Application Landscape

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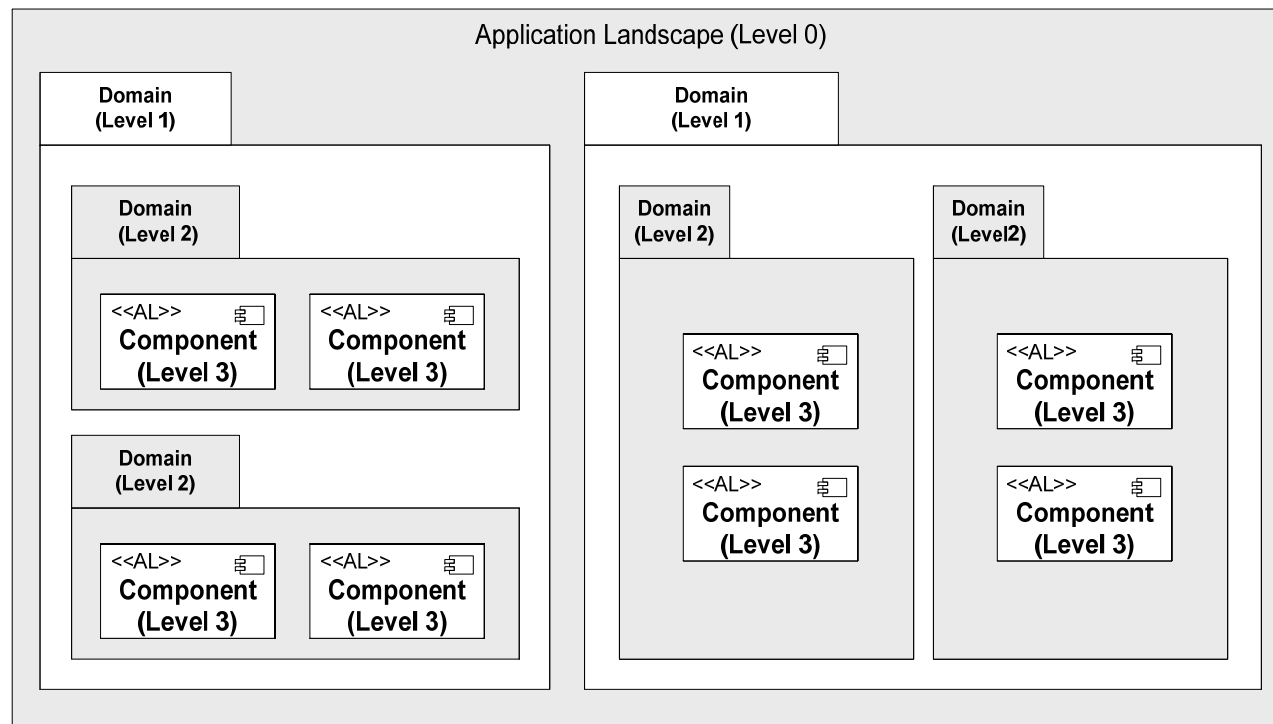
- 3** Designing Domains
- 4** Identification of Application Services
- 5** Designing Components
- 6** Reference Architecture Categorized Application Landscape
- 7** Design Rules for Components
- 8** Designing Interfaces and Operations
- 9** Design Rules for Interfaces and Operations
- 10** Design Rules for Coupling Architecture

# Main Step 2: Definition of an Ideal Application Landscape

## 3 Designing Domains

### Domain

- business-oriented clustering of components of an application landscape
- may be hierarchically structured
- components are associated to leaf domains

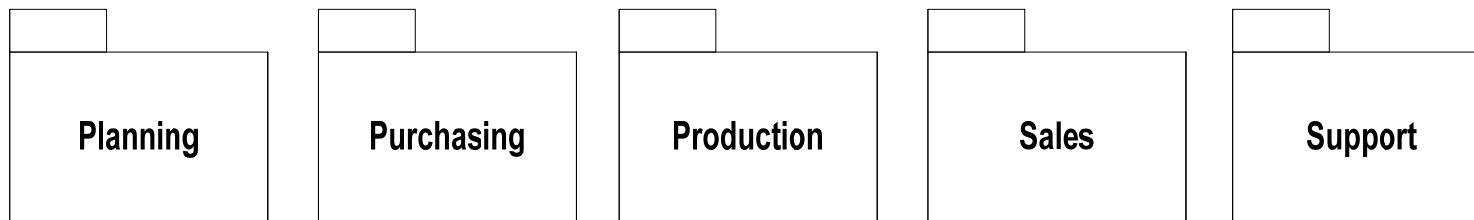
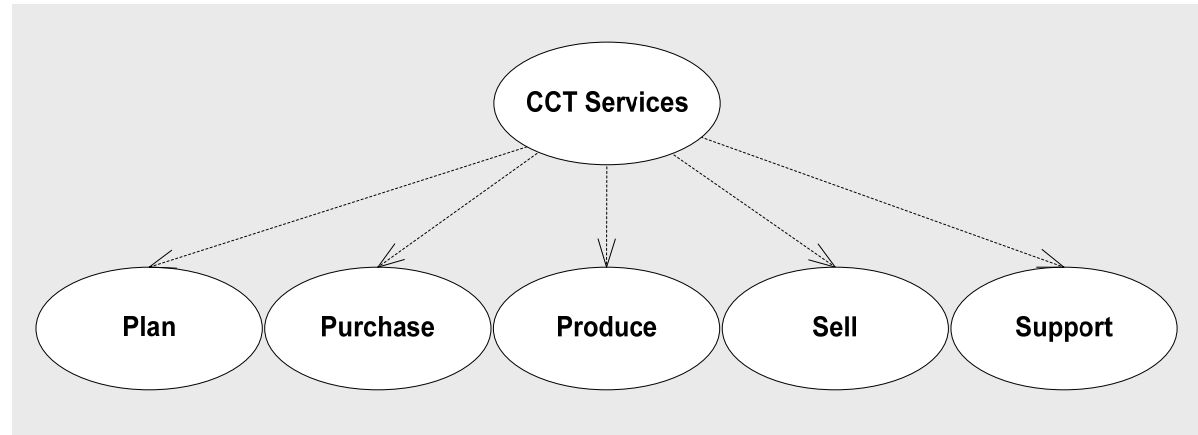


Notation:  
UML-like  
Package and  
Component  
Diagrams

### 3 : Example

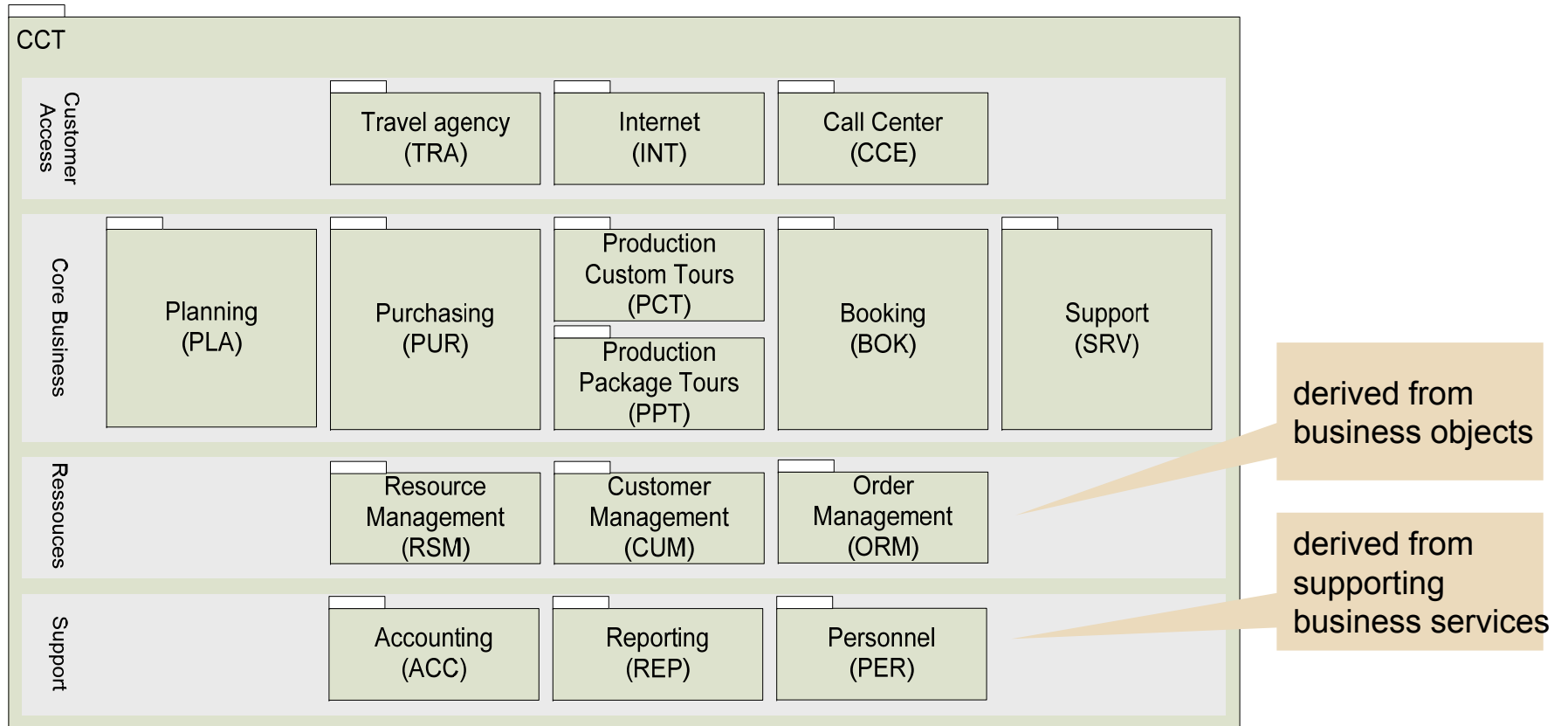
Core business services are candidate domains

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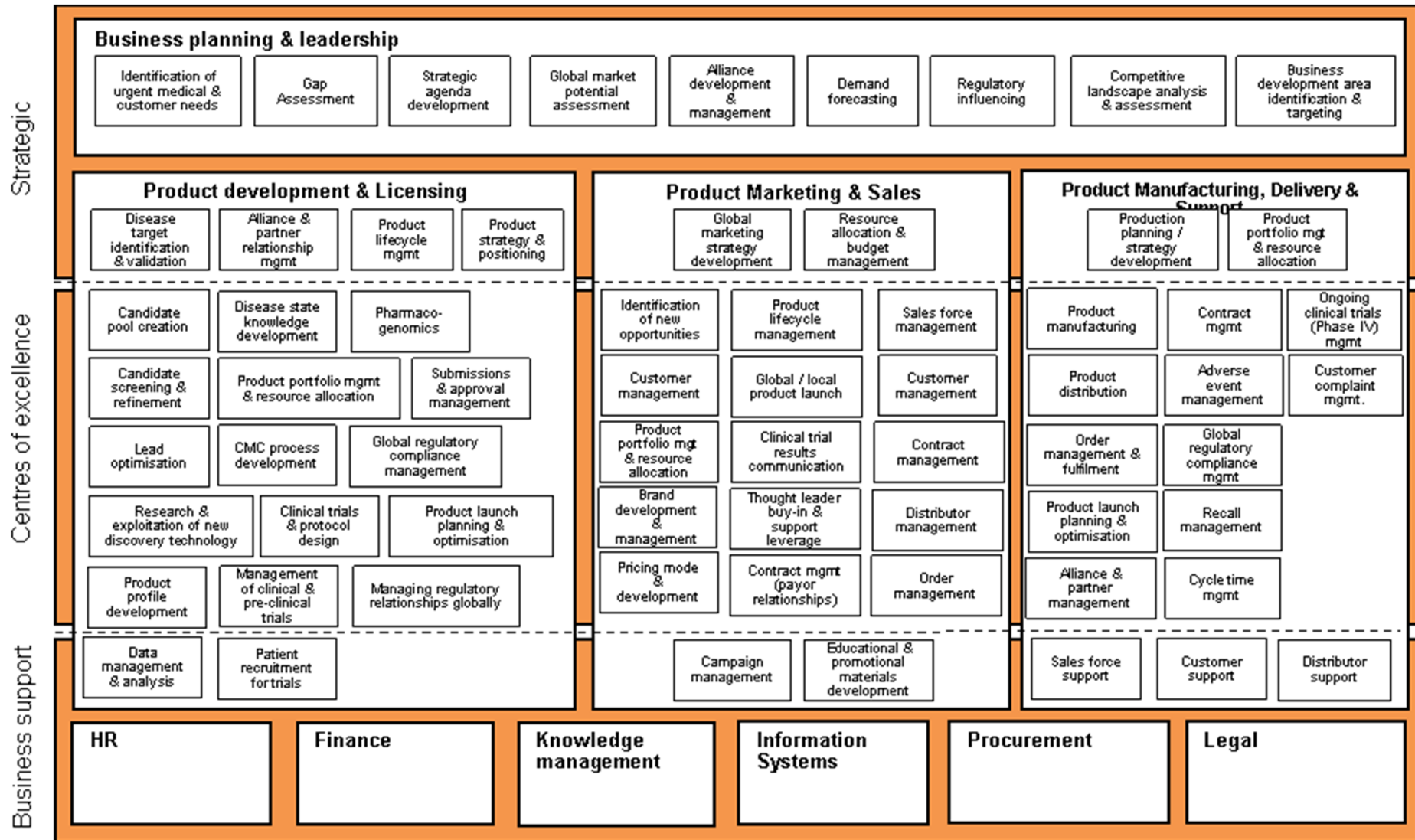


# 3 : Example

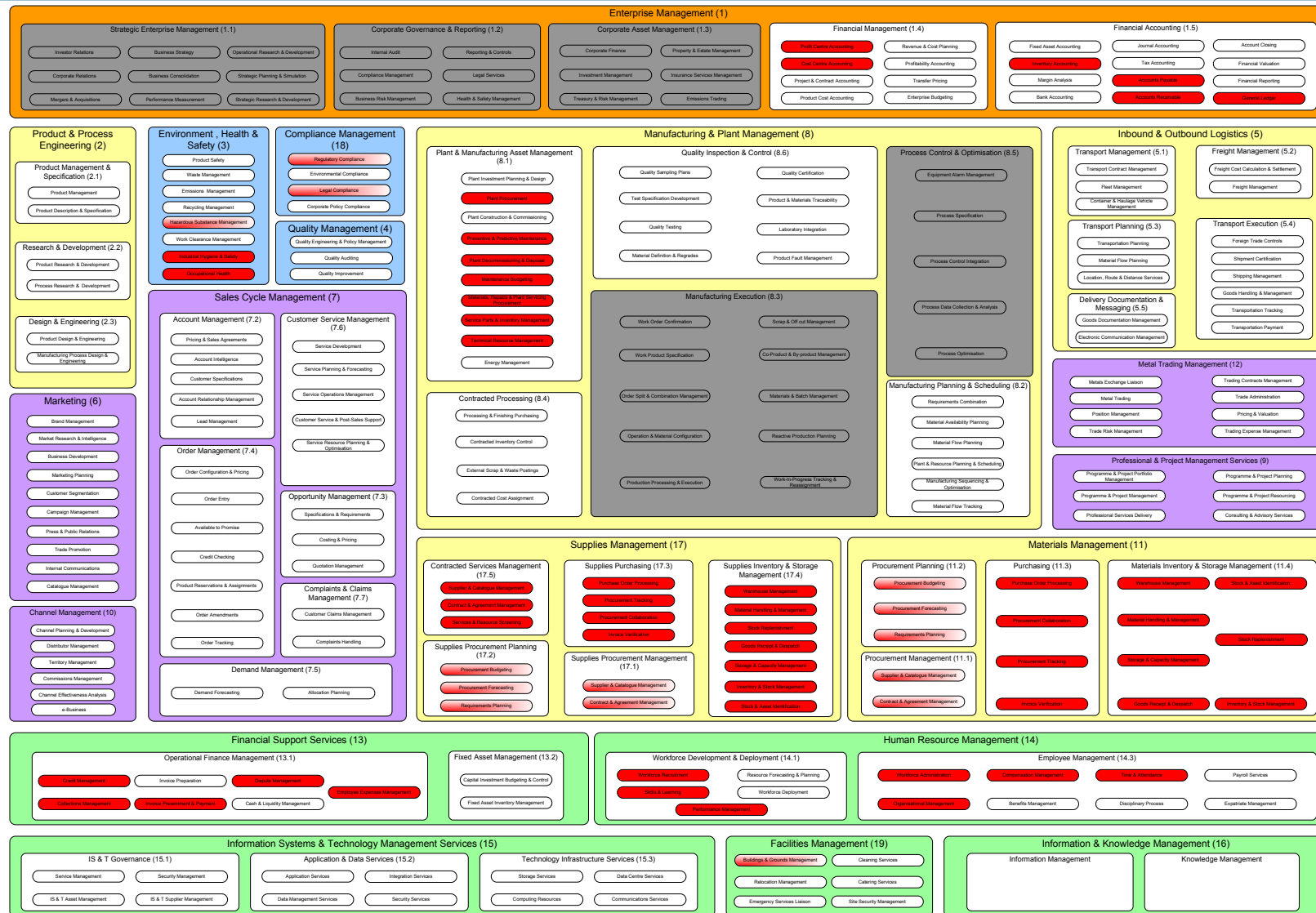
## Final Domains of Christopher Columbus Travel



# Real Example: Life Sciences



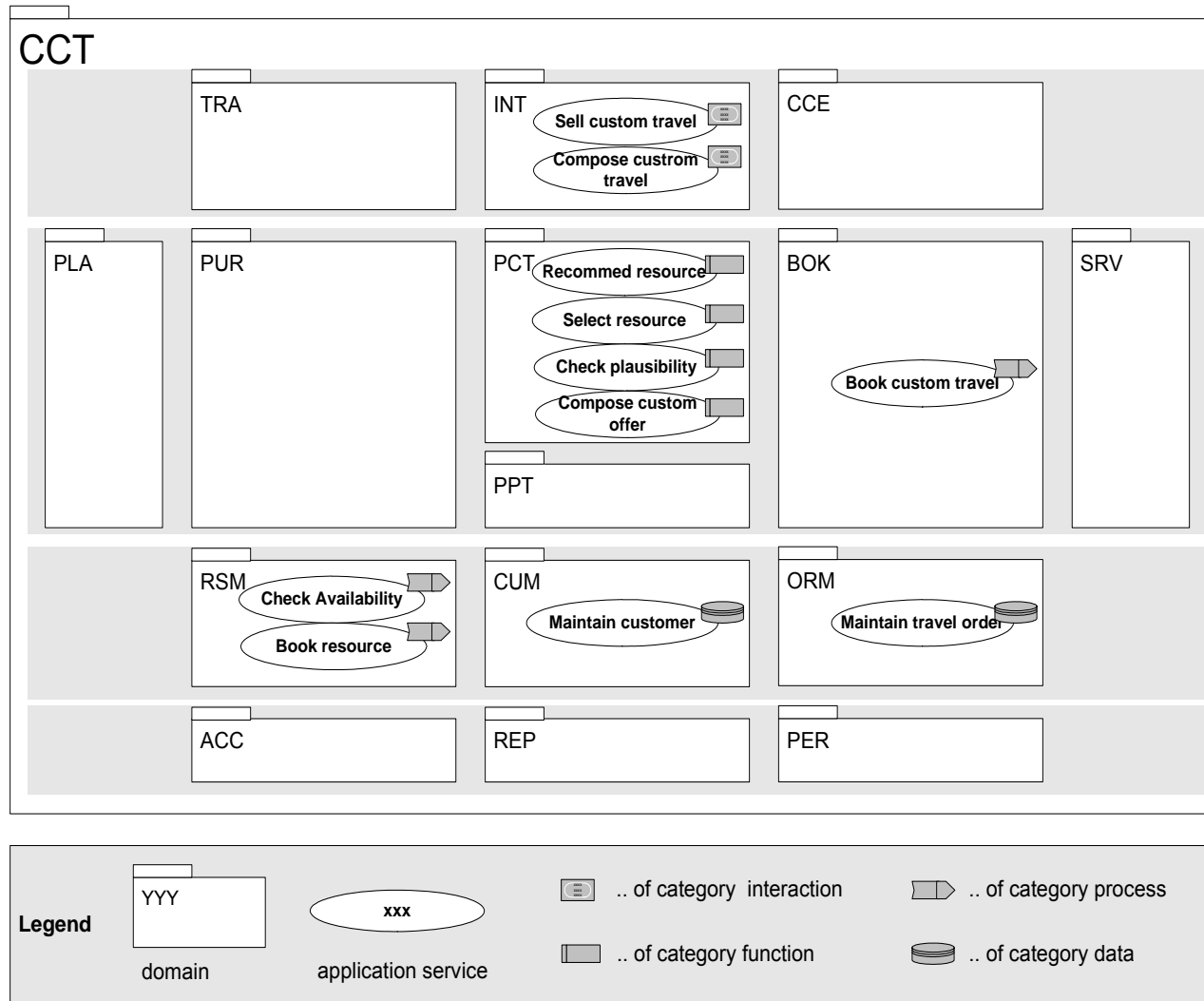
# Real Example: Manufacturing



Colour Key: Enterprise Management Services, Control Services, Core Value Chain, Market Facing Services, Business Support Services, Core IT Breakthrough SAP Tools, Limited coverage within ITB Scope, Out of scope for IT Breakthrough

# 4 : Example

## Domains with associated categorized application services



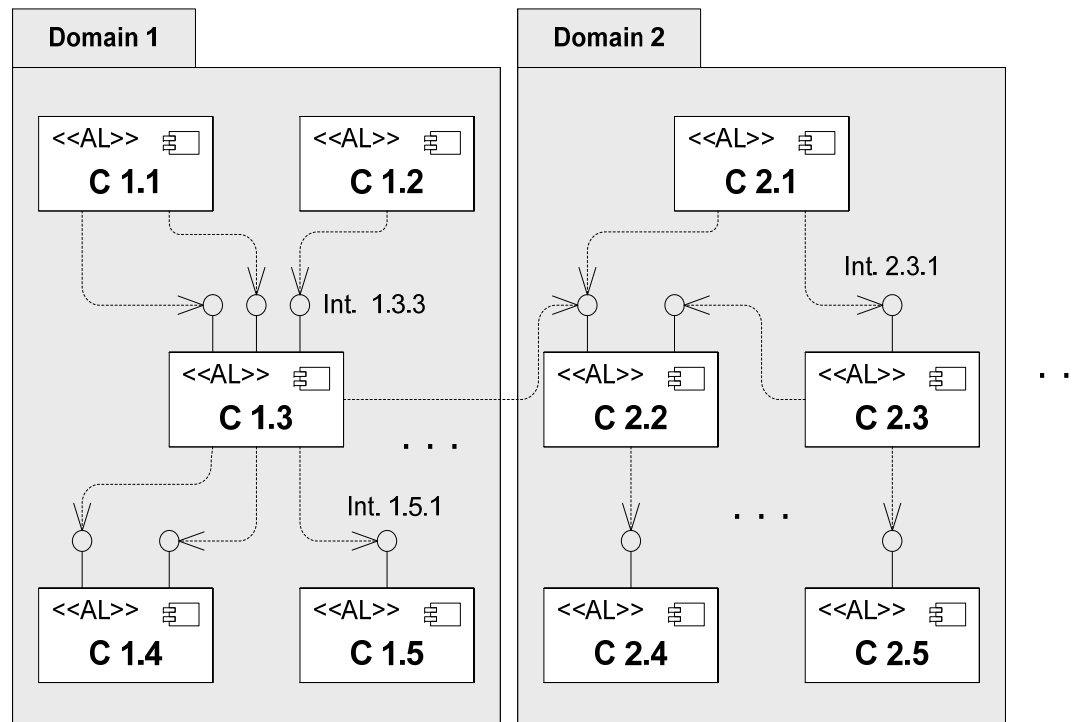
## Main Step 2: Definition of an Ideal Application Landscape

### 5 Designing Components

#### Def.

#### Application Landscape (AL) component

- realizes application services
- has explicit interfaces for provided and requested operations
- is coupled with other AL components and uses their provided operations





## 5, 7 : Example

### Determine candidate components and refine

#### Candidate component

- All application services of the same domain and category become a candidate component

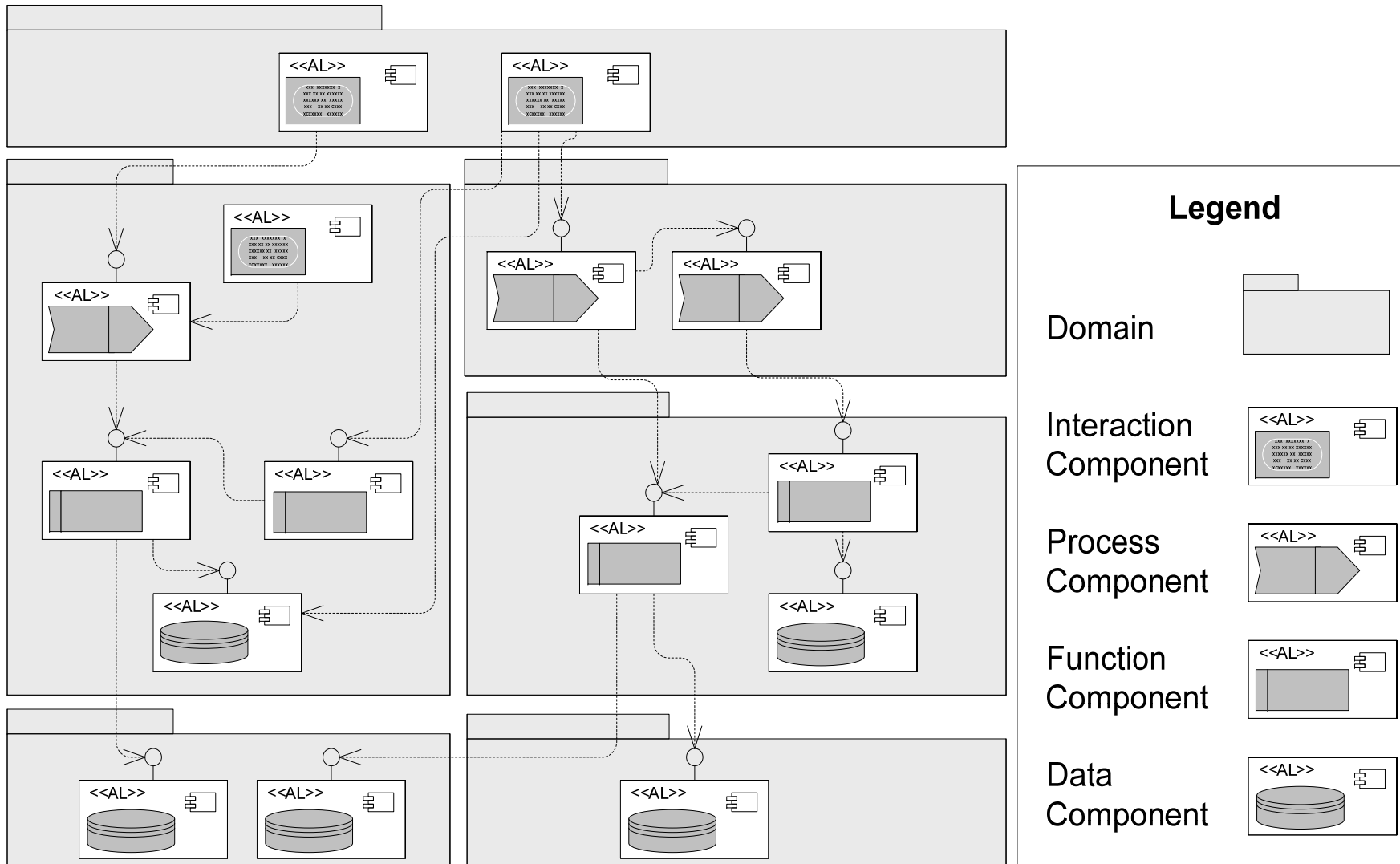
#### 7

#### Design Rules for refining candidate components

- An AL component belongs to exactly one domain.
- All operations of an AL component shall be of the same category.
- Business logic that changes at a different pace shall be separated.
- AL components of category data have responsibility of business objects.
- AL components shall not have cyclic dependencies.
- AL components of different categories shall have layered dependencies according to interaction → process → function → data.
- AL components shall have low coupling and high cohesion.
- ...

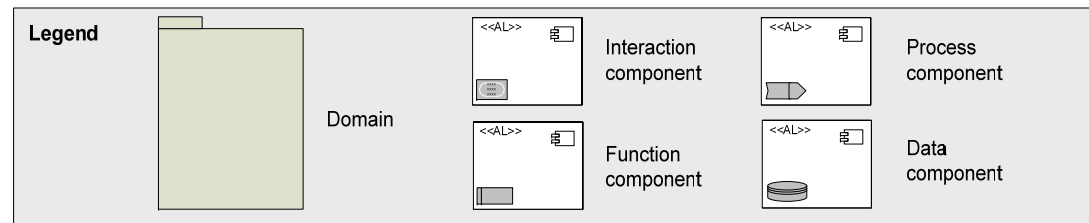
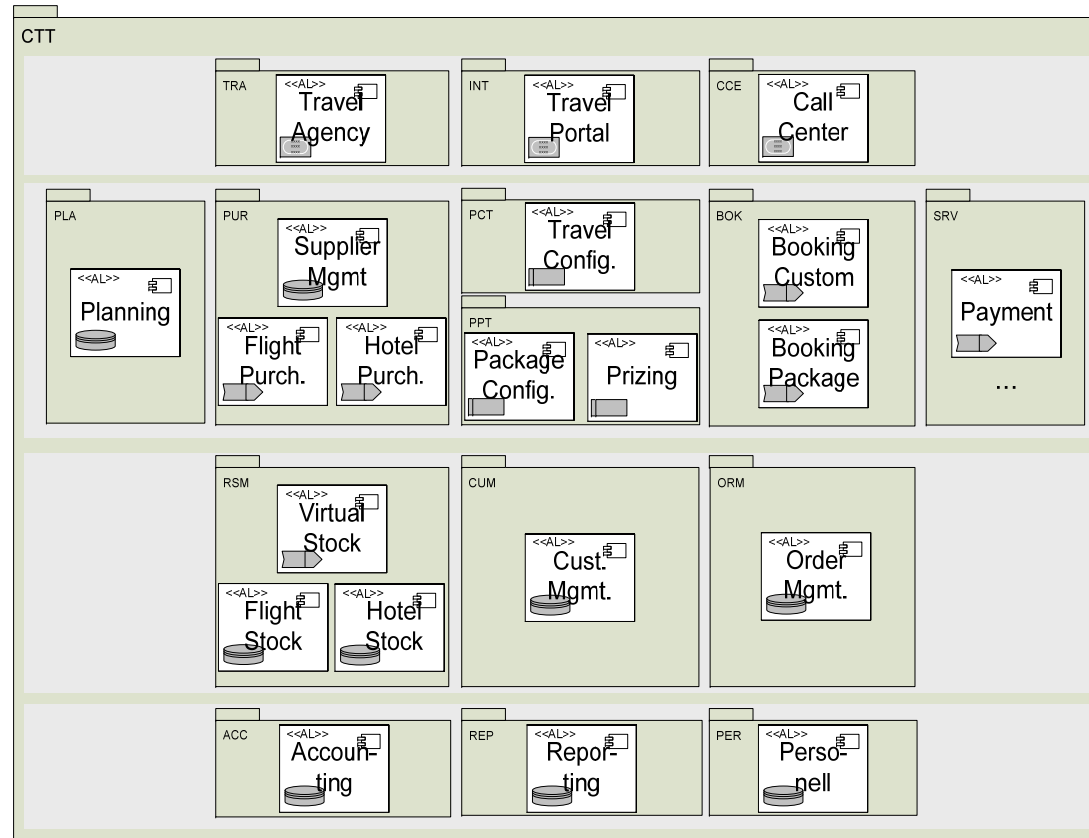
# Main Step 2: Definition of an Ideal Application Landscape

## 6 Reference Architecture Categorized Application Landscape



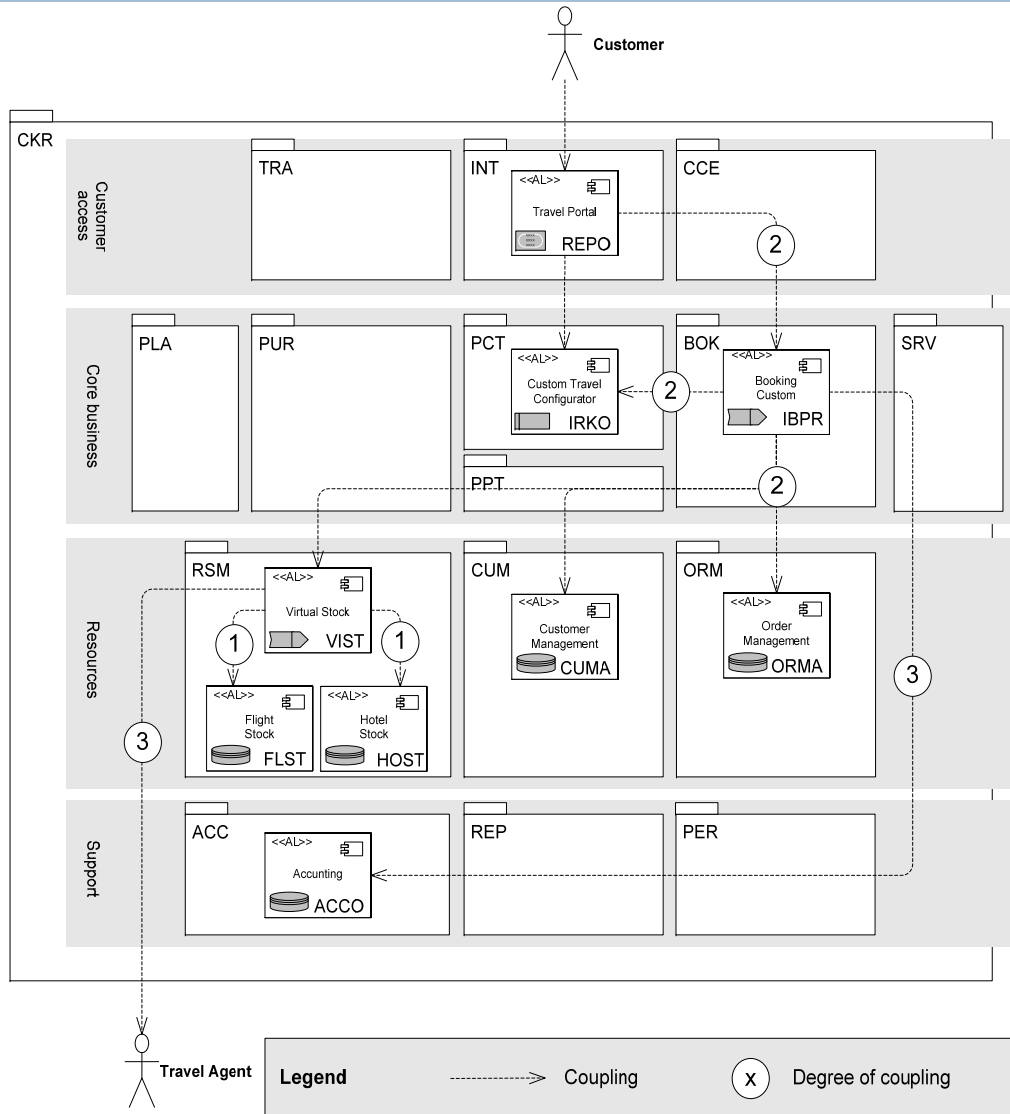
# 5, 6, 7 : Example

## Final AL components of CCT



# Main Step 2: Definition of an Ideal Application Landscape

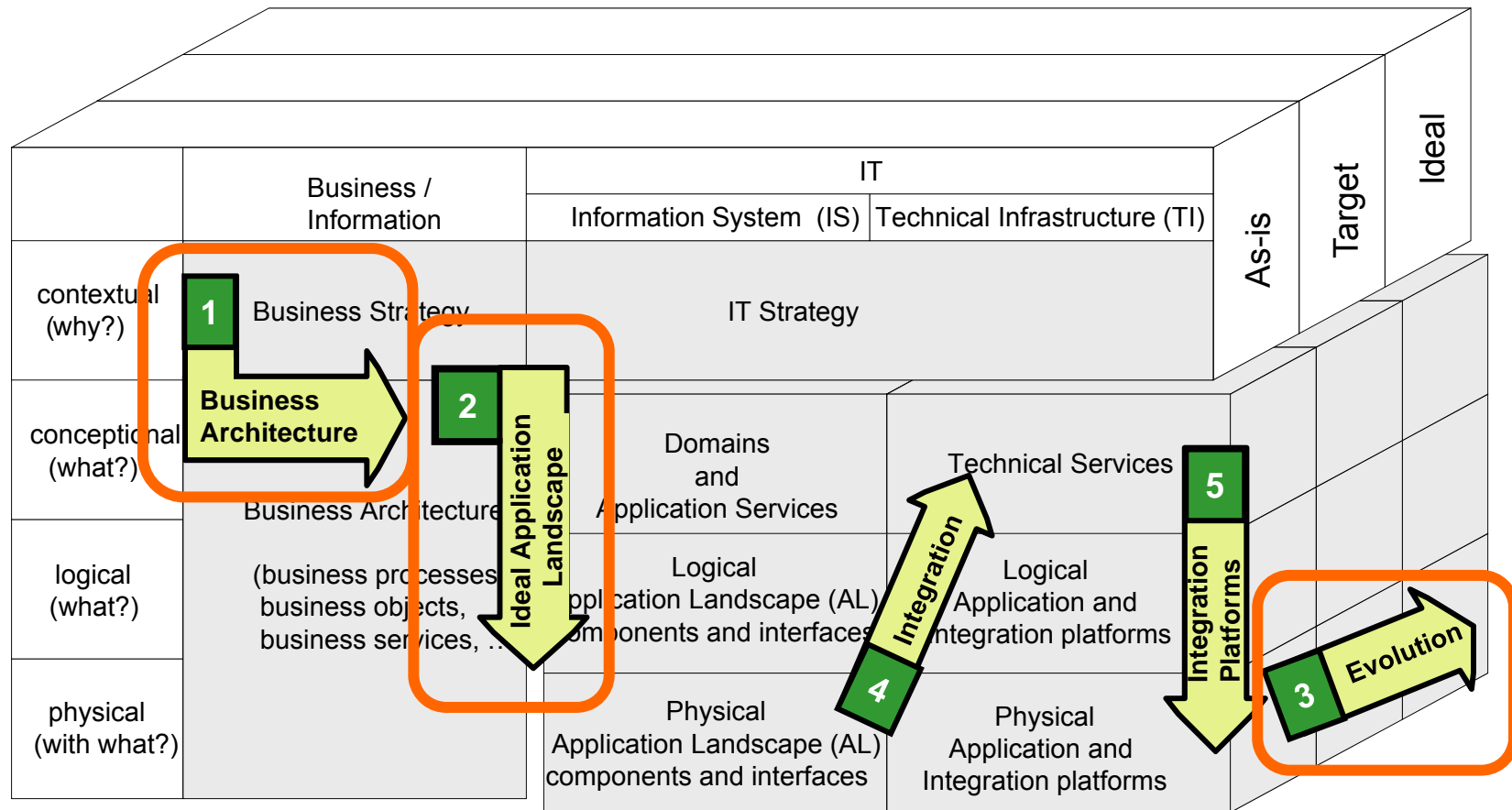
## 10 Define Coupling



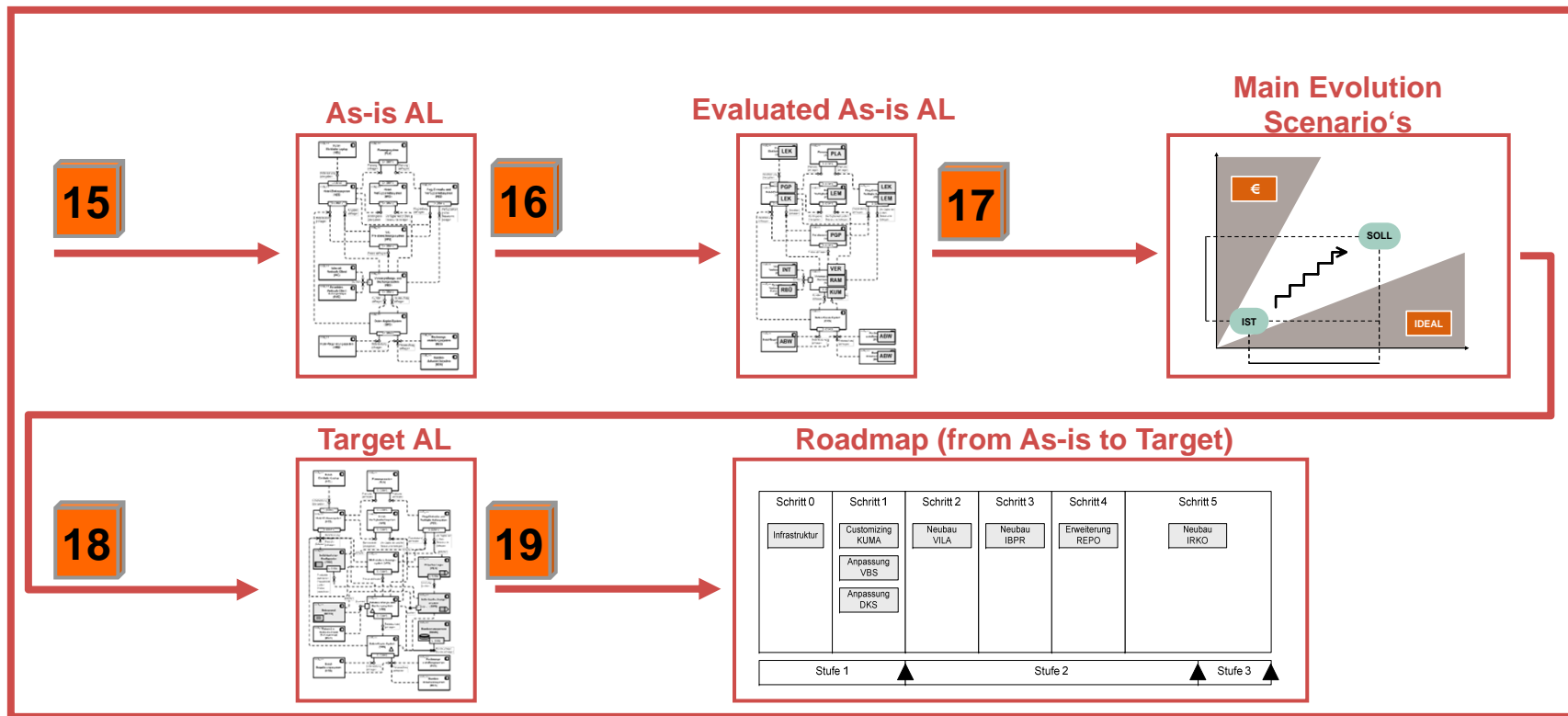
### Degree of Coupling

- 1 Tight coupling**
  - synchronous
  - trust (no validation)
  - common data
- 2 Medium coupling**
  - synchronous
  - no trust (i.e. validation)
  - no common data
- 3 Loose coupling**
  - asynchronous
  - no trust (i.e. validation)
  - no common data

# Quasar Enterprise: Roadmap within Refined IAF Structure



# Quasar Enterprise Method: Main Step **3**: Evolution Planning



**15** Identify As-is State of Application Landscape

**16** Evaluate As-Is State of Application Landscape

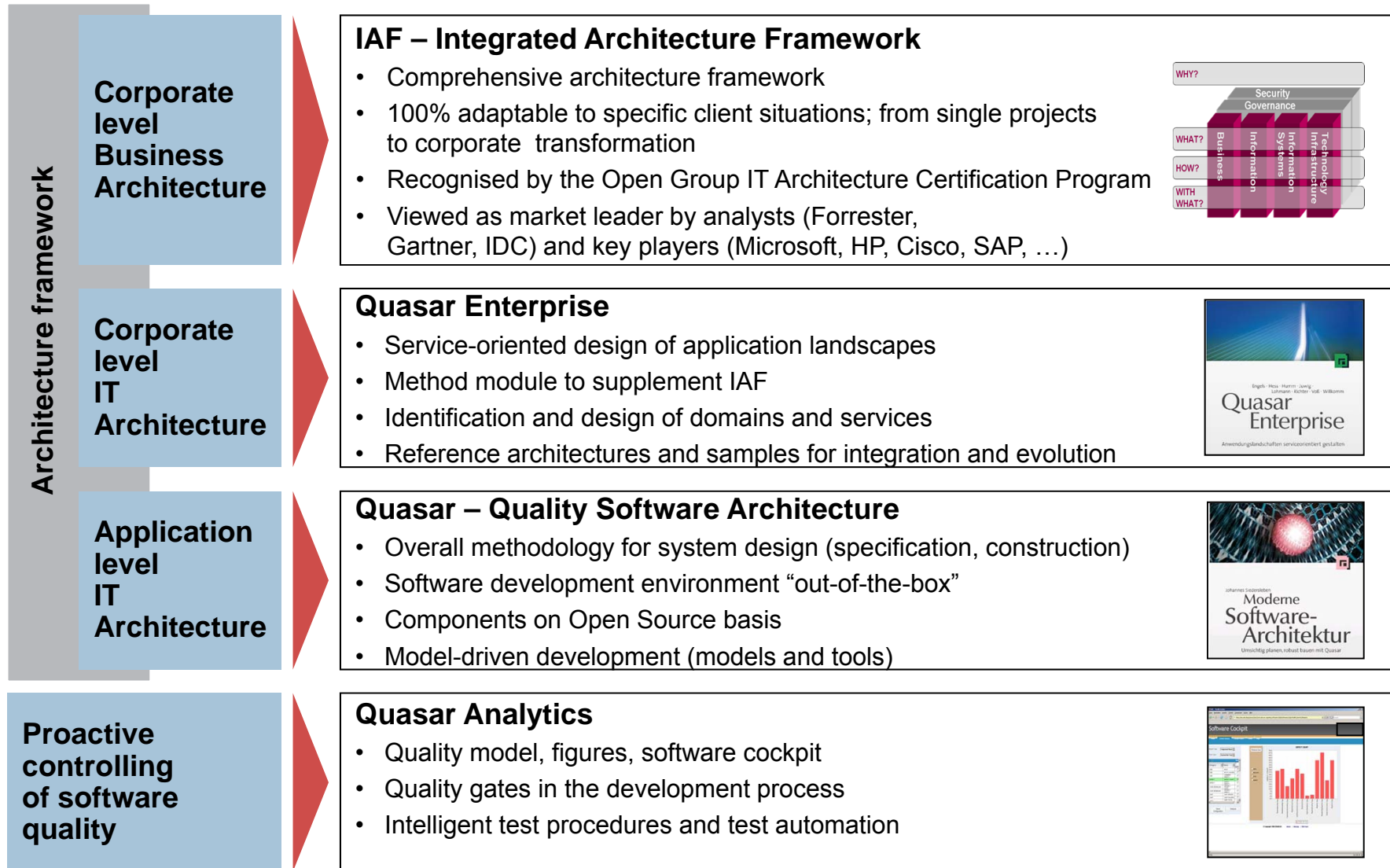
**17** Determine Main Evolution Scenario's

**18** Design Target Application Landscape

**19** Determine Evolution Roadmap

**20** Reference Evolution Scenario's

# Quasar Enterprise – the SOA method of Capgemini CSD



# References Quasar Enterprise

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Gregor Engels, Markus Voß: Quasar Enterprise. In Informatik-Spektrum, vol. 31, no. 6, pp. 548-555. Springer (Berlin/Heidelberg) (2008)

Gregor Engels, Andreas Hess, Bernhard Humm, Oliver Juwig, Marc Lohmann, Jan-Peter Richter, Markus Voß, Johannes Willkomm: Quasar Enterprise: Anwendungslandschaften serviceorientiert gestalten. dpunkt-Verlag (München) (2008)

Gregor Engels, Andreas Hess, Bernhard Humm, Oliver Juwig, Marc Lohmann, Jan-Peter Richter, Markus Voß, Johannes Willkomm: Anwendungslandschaften serviceorientiert gestalten. In R. Reussner, W. Hasselbring (eds.): Handbuch der Softwarearchitektur, pp. 151-178. dpunkt-Verlag (2008)

Gregor Engels, Andreas Hess, Bernhard Humm, Oliver Juwig, Marc Lohmann, Jan-Peter Richter, Markus Voß, Johannes Willkomm: A Method for Engineering a true Service-Oriented Architecture. In J. Cordeiro, J. Filipe (eds.): Proceedings of the Tenth International Conference on Enterprise Information Systems (ICEIS 2008), Barcelona (Spain). Springer (Berlin/Heidelberg), vol. ISAS-2, pp. 272-281 (2008)

Andreas Hess, Bernhard Humm, Markus Voß, Gregor Engels: Structuring Software Cities - A Multidimensional Approach. In Proceedings of the 11th IEEE International Enterprise Distributed Object Computing Conference (EDOC 2007). IEEE Computer Society (Washington, DC, USA), pp. 122-129 (2007)

Gregor Engels, Markus Voß: Quasar Enterprise - Anwendungslandschaften serviceorientiert gestalten. In K. Herrmann, B. Bruegge (eds.): Software Engineering 2008. Fachtagung des GI-Fachbereichs Softwaretechnik. GI, LNI, vol. 121, pp. 24-27 (2008)

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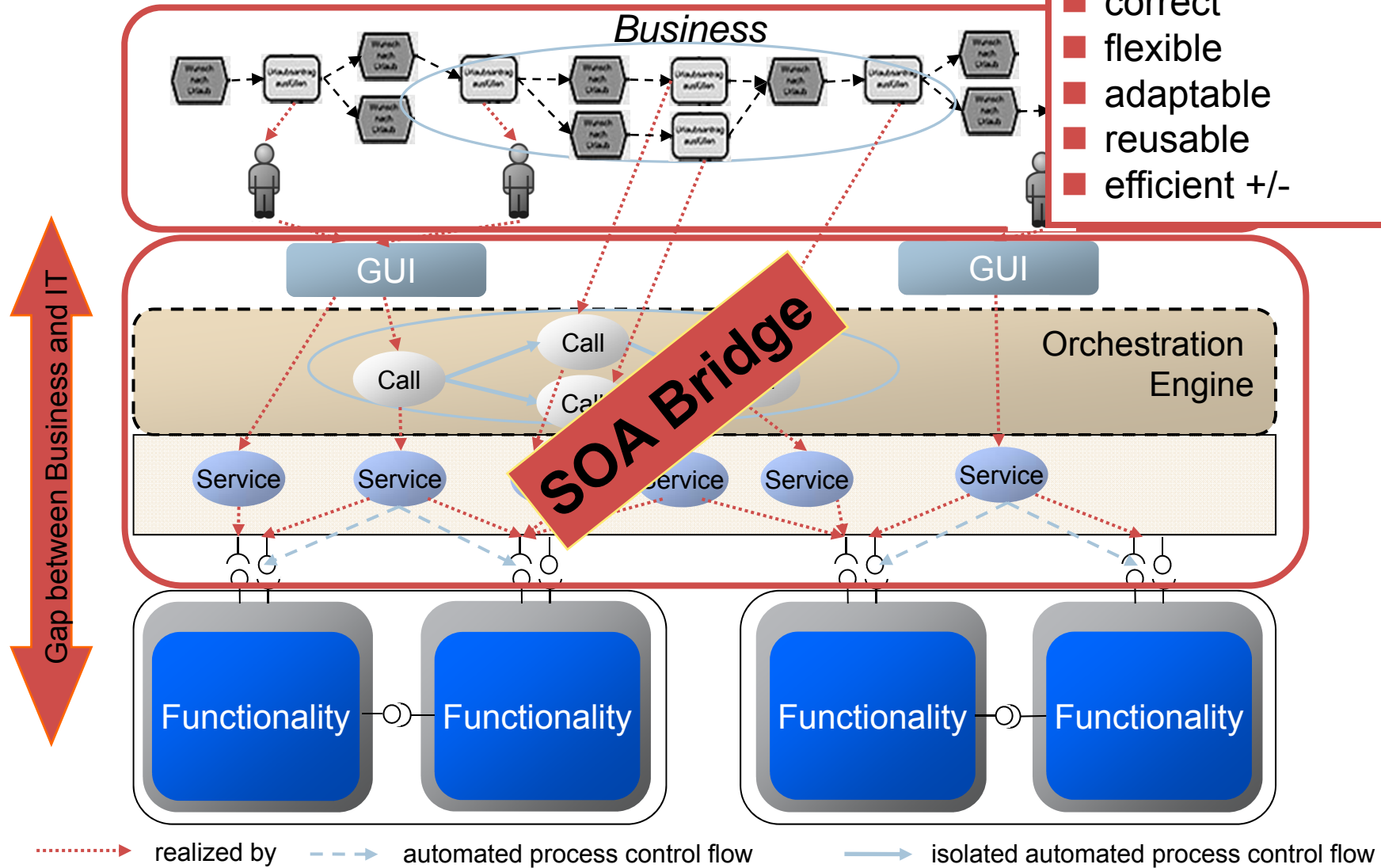
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# Quality of Business Process Models

## Quality Objectives:

- correct
- flexible
- adaptable
- reusable
- efficient +/-

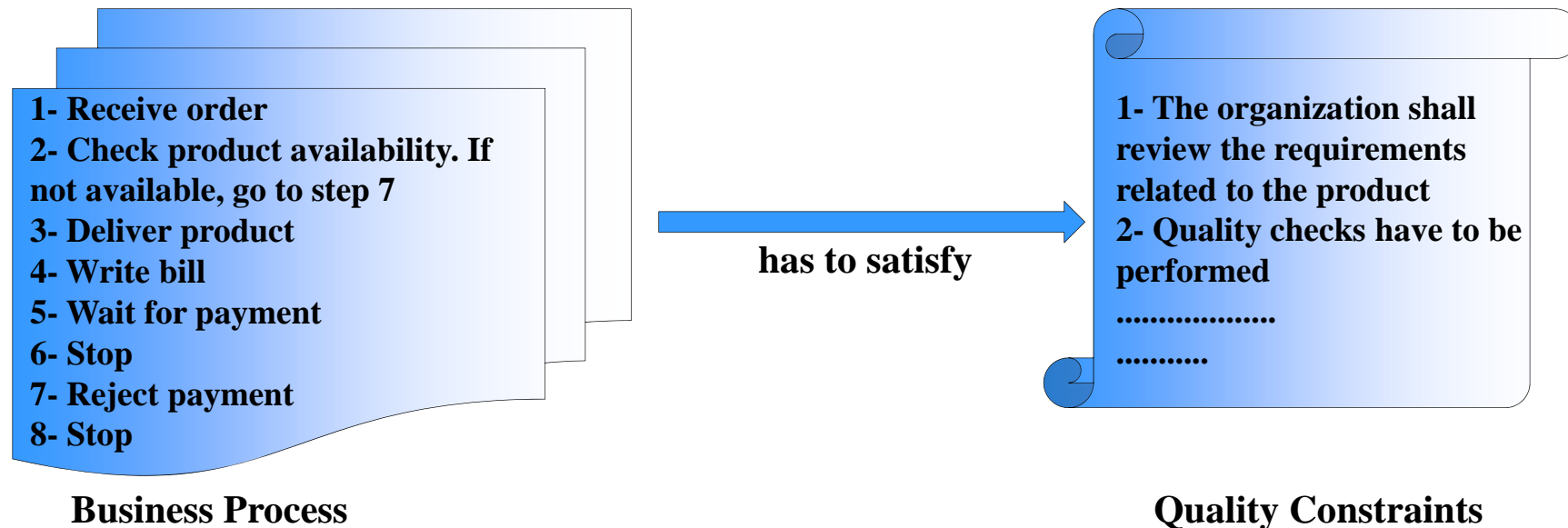


# Quality of Business Process Models

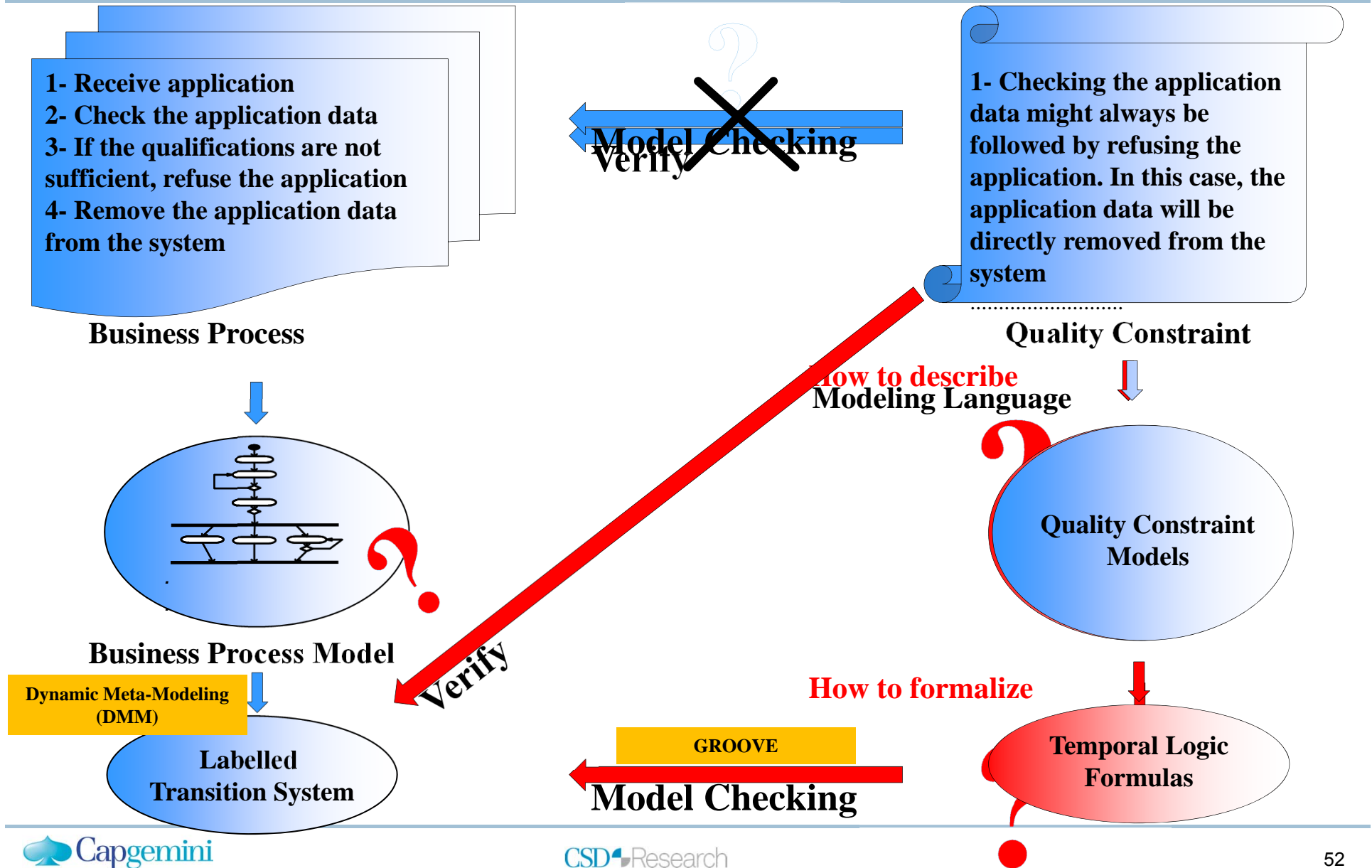


Alexander Förster

- **Quality Constraints as Business Rules**
  - standards-driven (e.g., ISO 9001)
  - customer-defined



# Motivation



# Modeling Quality Constraints

## Extended Process Pattern Specification Language (EPPSL)

### *Example 1*

- It is always the case that checking the application data followed by refusing the application. In this case, the application data will be directly removed from the system

It is always the case that checking the application data might be followed by refusing the application. In this case, the application data will be directly removed from the system

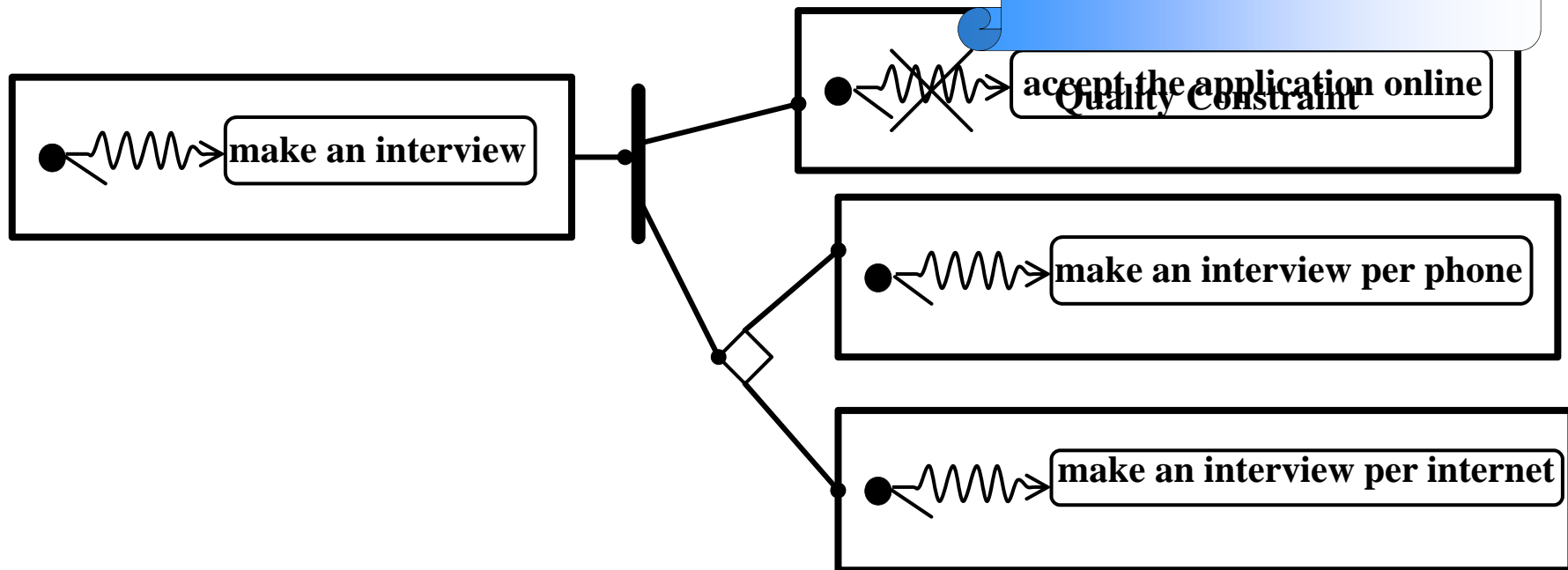
### Quality Constraint



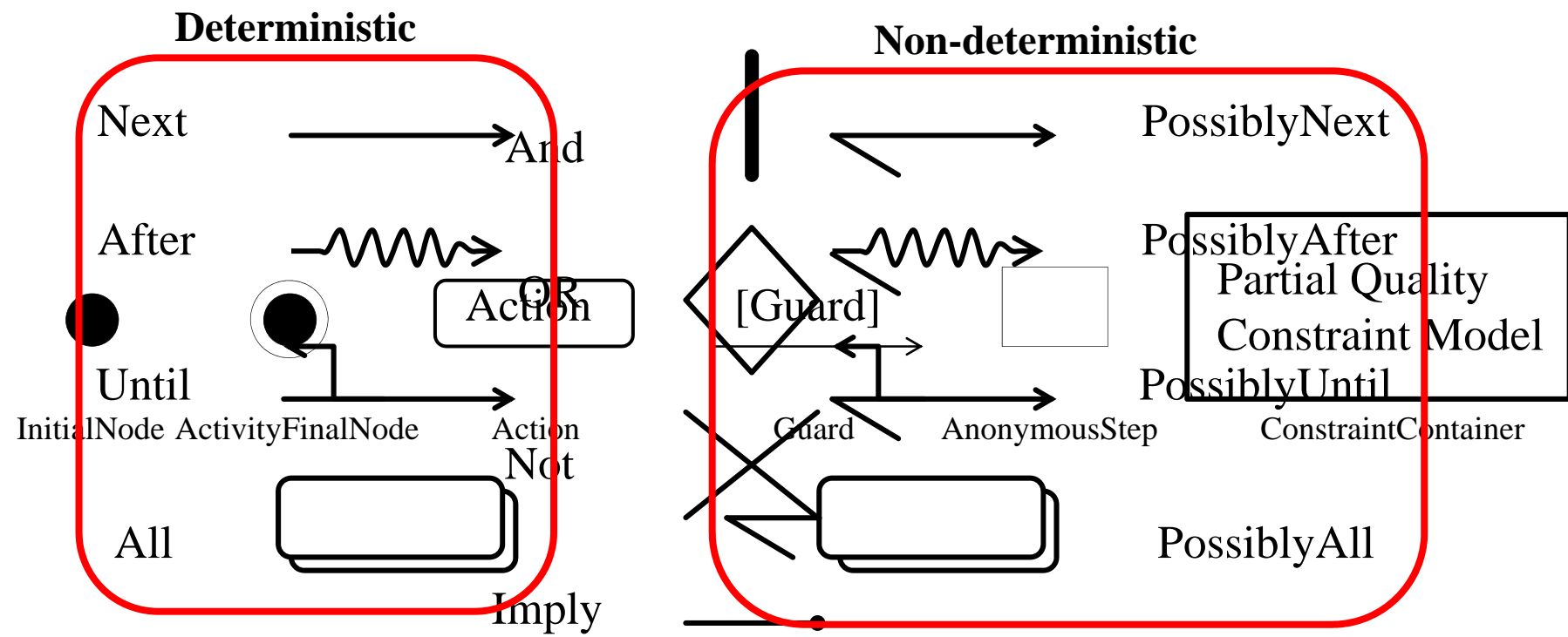
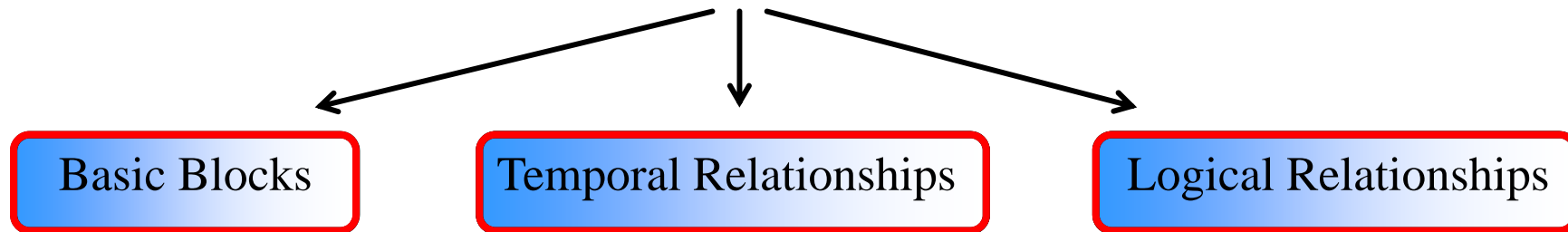
## Example 2

- If there is a possibility to make an interview, the application cannot be accepted online, and the interview might be made per phone or per internet

If there is a possibility to make an interview, then the application cannot be accepted online, and the interview might be made per phone or per internet



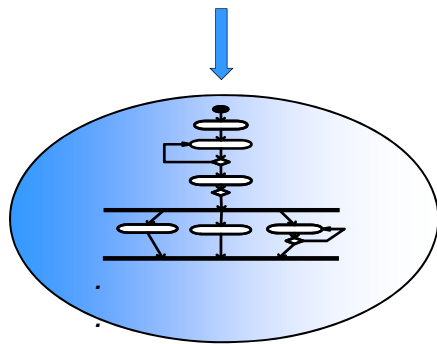
## Extended Process Pattern Specification Language (EPPSL)



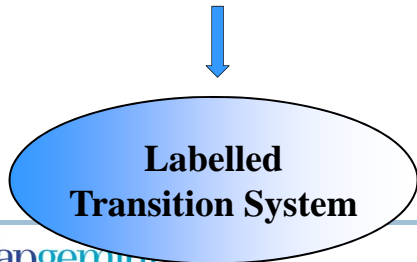
# Formalizing Quality Constraints

- 1- Receive application
- 2- Check the application data
- 3- If the qualifications are not sufficient, then refuse the application
- 4- Remove the application data from the system

**Business Process**



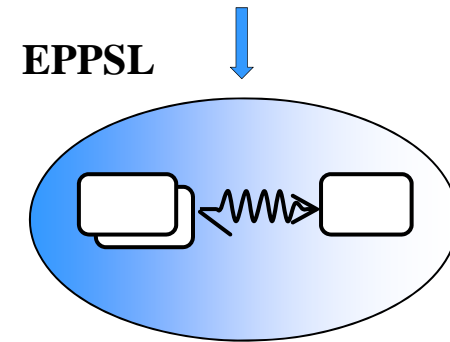
**Business Process Model**



**Labelled Transition System**

- 1- Always checking the application data might be followed by refusing the application. In this case the application data will be removed from the system  
.....

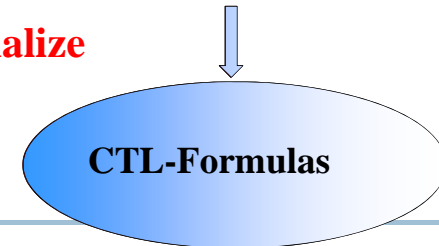
**Quality Constraint**



**EPPSL**

**EPPSL Quality Constraint Models**

**How to formalize**



**CTL-Formulas**

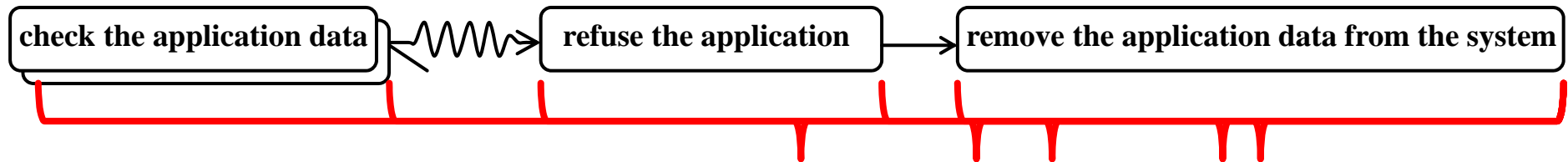
**Model Checking**







# Pattern-Based Translation into CTL

## Example 1

- It is always the case that checking the application data might be followed by refusing the application. In this case, the application data will be directly removed from the system



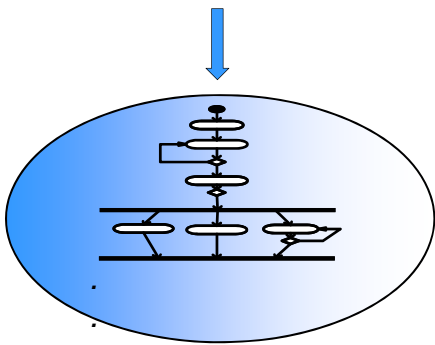
~~$\text{AX}(\text{check the application data})$~~   
 ~~$\text{AX}(\text{refuse the application data})$~~   
 ~~$\text{AX}(\text{remove the application data from the system})$~~   
 ~~$\text{AX}(\text{check the application data} \rightarrow \text{refuse the application data} \rightarrow \text{remove the application data from the system}))$~~

EPPSL Pattern	CTL Formula
	Action
$\rightarrow S$	$\text{AX}(S^*)$
 S	Action $\rightarrow S^*$
 S	$\text{EF}(S^*)$
 S	$\text{AG}(\text{Action} \rightarrow S^*)$

# Quality of Business Process Models

- 1- Receive application
- 2- Check the application data
- 3- If the qualifications are not sufficient, then refuse the application
- 4- Remove the application data from the system

**Business Process**



**Business Process Model**

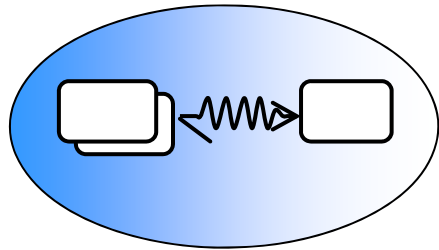
Dynamic Meta-Modeling (DMM)



- 1- Always checking the application data might be followed by refusing the application. In this case the application data will be removed from the system
- .....

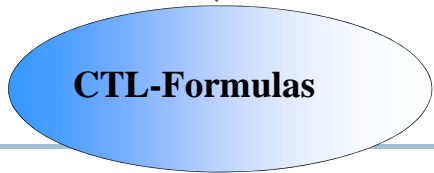
**Quality Constraints**

**EPPSL**



**EPPSL Quality Constraint Models**

Translation



**Model Checking**

# Literature on Business Process Patterns

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**A. Förster**, G. Engels, T. Schattkowsky, R. Van Der Straeten: Verification of Business Process Quality Constraints Based on Visual Process Patterns. In Proc. 1st IEEE Int. Symposium on Theoretical Aspects of Software Engineering (TASE) 2007, Shanghai, China, pp. 197-208, IEEE Press, 2007

A. Förster, T. Schattkowsky, G. Engels, R. Van Der Straeten: A Pattern-driven Development Process for Quality Standard-conforming Business Process Models: In Proc. IEEE Symposium on Visual Languages and Human-Centric Computing (VL/HCC), Brighton 2006, pp. 135 - 142. IEEE Computer Society, 2006.

A. Förster, G. Engels, T. Schattkowsky: Activity Diagram Patterns for Modeling Quality Constraints in Business Processes. In Proc. ACM/IEEE 8th International Conference on Model Driven Engineering Languages and Systems (MoDELS 2005), Jamaica, October 2005, pp. 2-16. LNCS 3713, Springer-Verlag, 2005.

**Lial Khaluf**, Christian Gerth, Gregor Engels: Pattern-Based Modeling and Formalizing of Business Process Quality Constraints. In H. Mouratidis and C. Rolland (eds.): Proceedings of the 23rd International Conference on Advanced Information System Engineering (CAiSE'11). Springer (Berlin/Heidelberg), LNCS, vol. 6741, pp. 521-535 (2011)

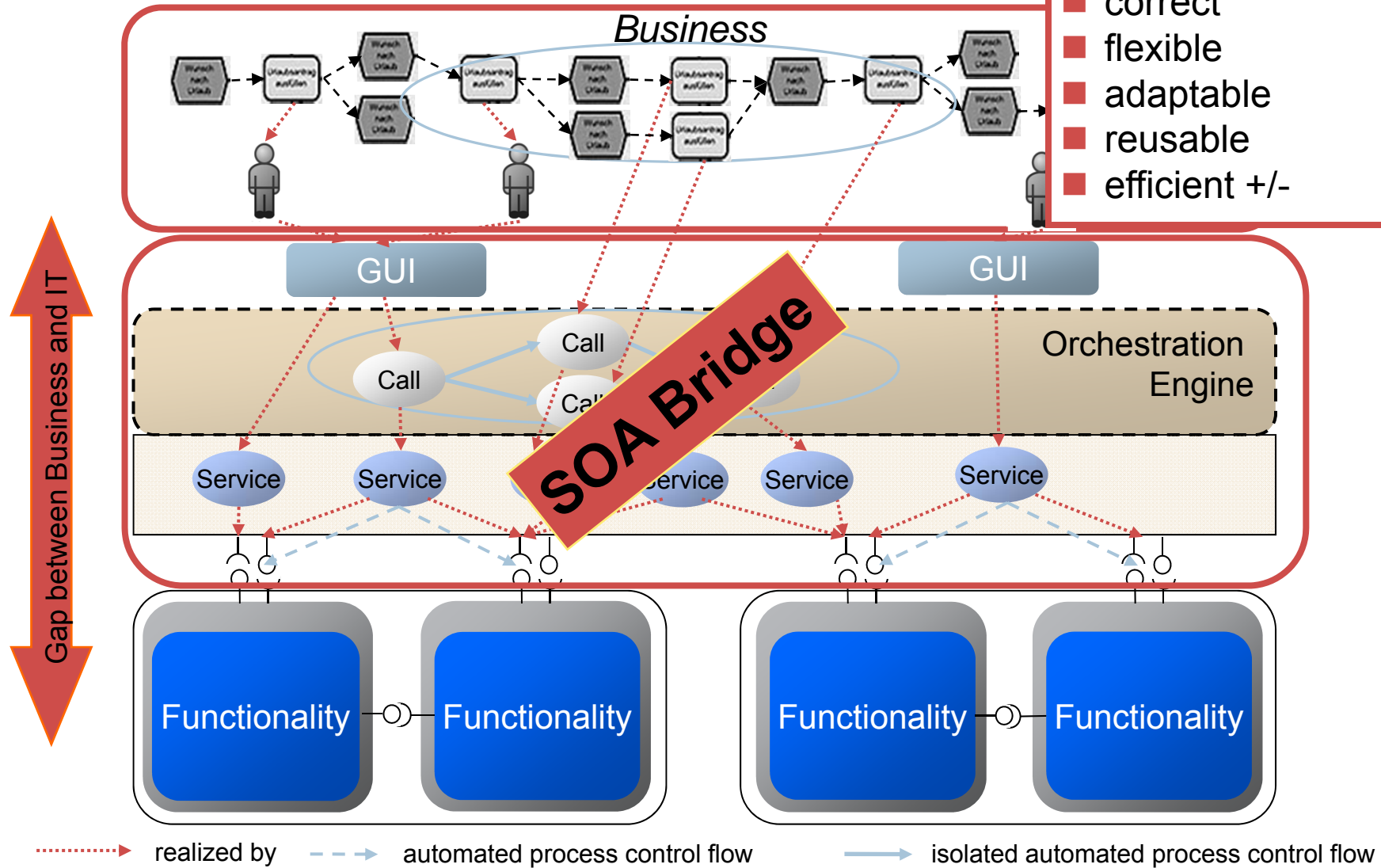
Gregor Engels, **Jan Hendrik Hausmann**, Reiko Heckel, Stefan Sauer: Dynamic Meta-Modeling: A Graphical Approach to the Operational Semantics of Behavioral Diagrams in UML. In A. Evans, S. Kent, B. Selic (eds.): Proceedings of the 3rd international conference on the Unified Modeling Language (UML 2000), York (UK). Springer (Berlin/Heidelberg), LNCS, vol. 1939, pp. 323-337 (2000)

**Christian Soltenborn**, Gregor Engels: Analysis of UML Activities with Dynamic Meta Modeling Techniques. In T. Kühne (eds.): Symposium "A Formal Semantics for UML" (satellite event of the MoDELS conference 2006), Genova (Italy). Springer (Berlin/Heidelberg), LNCS, vol. 4364, pp. 329-330 (2007)

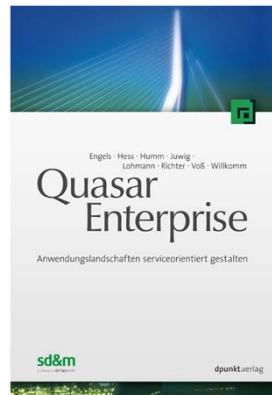
# Quality of Business Process Models

## Quality Objectives:

- correct
- flexible
- adaptable
- reusable
- efficient +/-

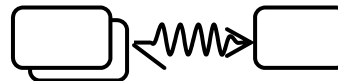


## Service-oriented Architecture – Experiences, Ideas, Innovations



### Quality Constraints

EPPSL



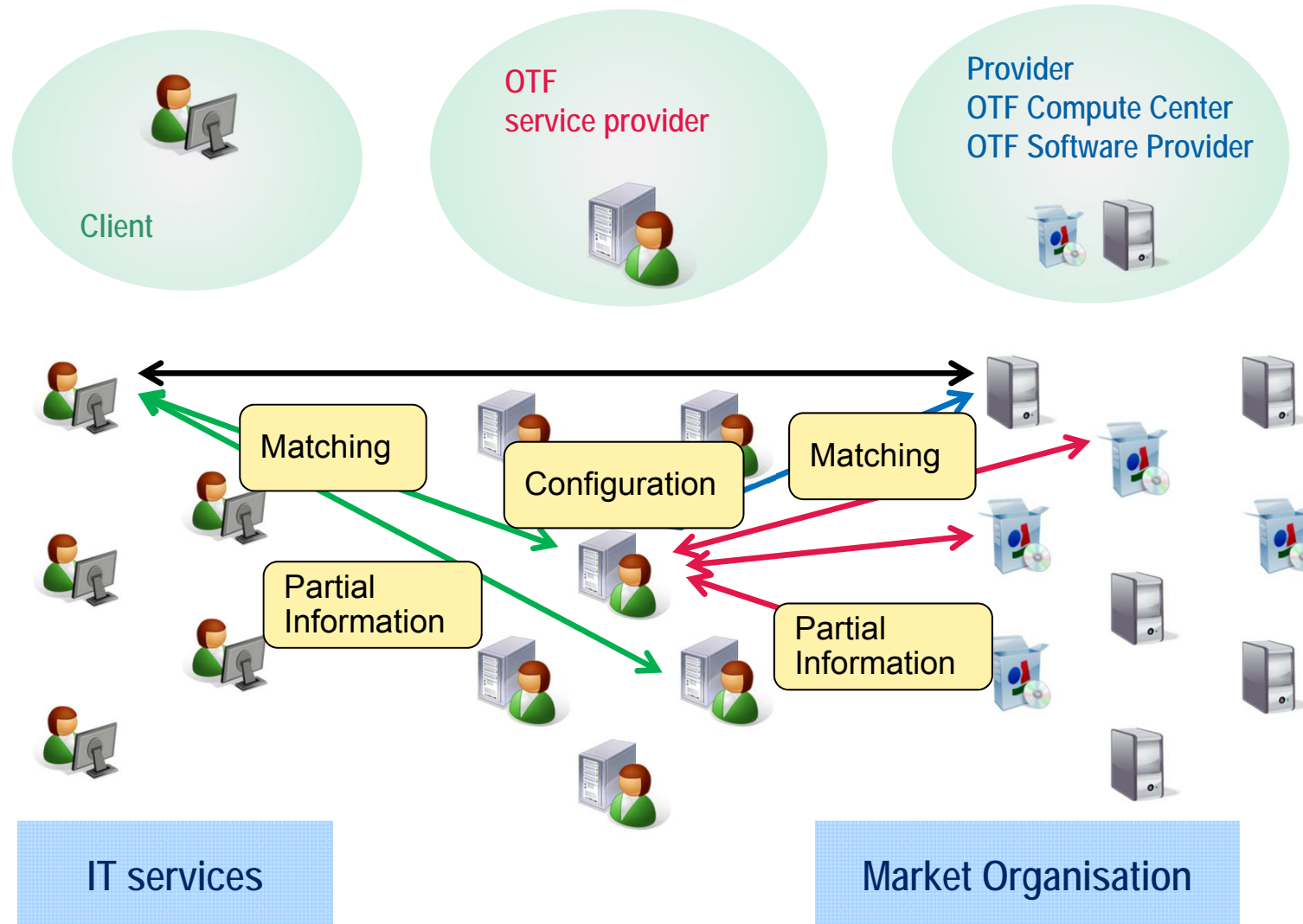


# Collaborative Research Center 901

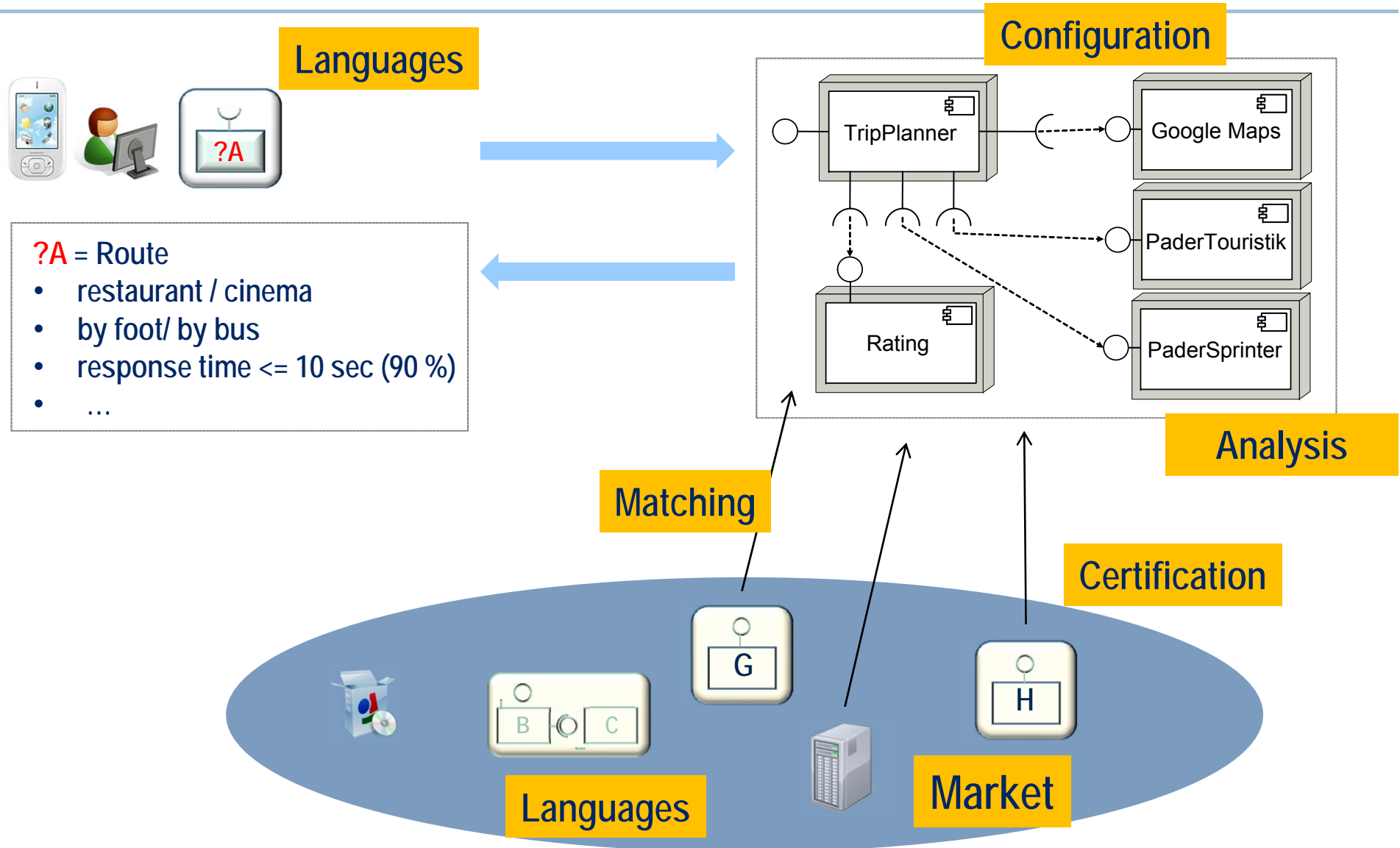
## **On-The-Fly Computing**

- **Automated configuration and execution of IT Services**
- **Organisation of world-wide distributed service markets**

# Actors in On-The-Fly Computing Markets

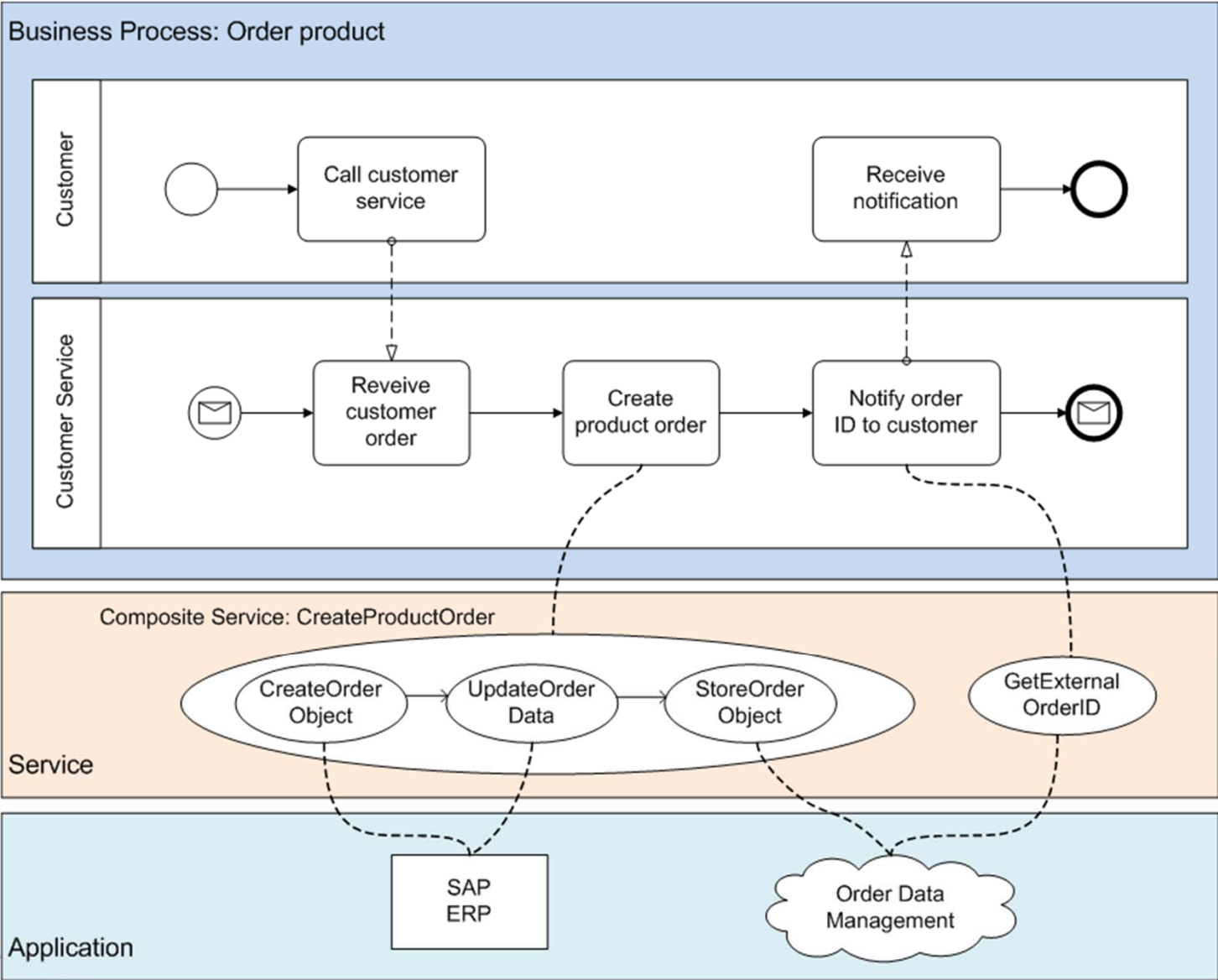


# Sample Scenario

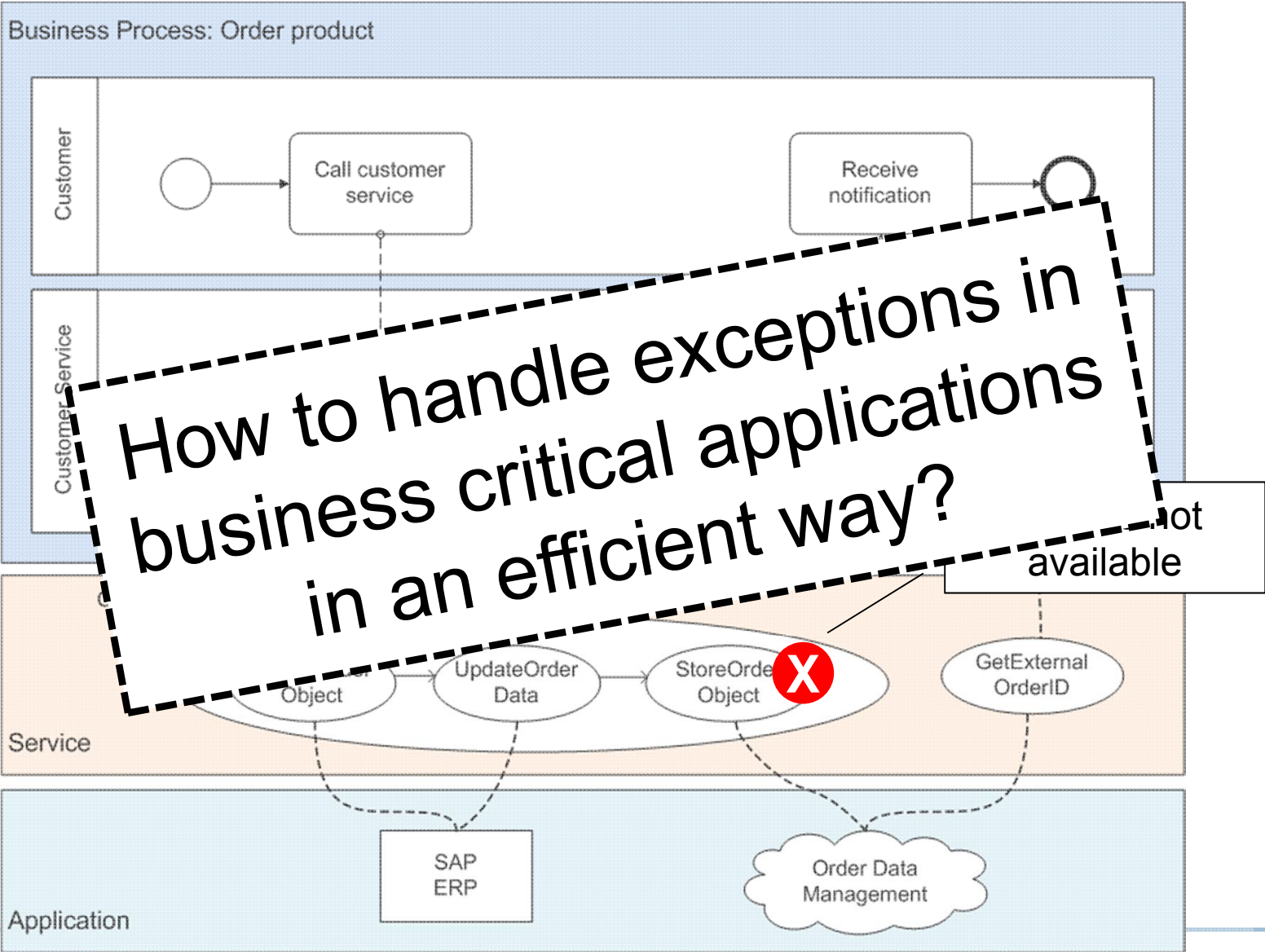




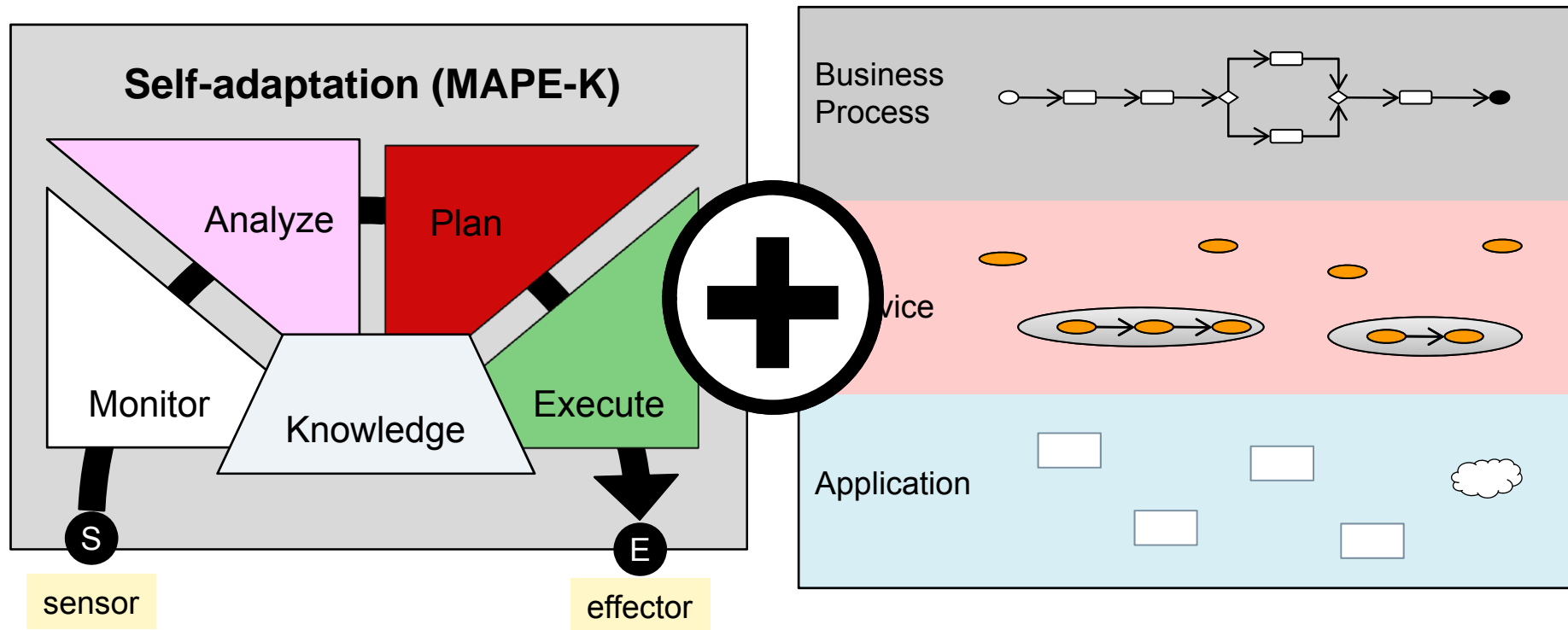
# Example



# Life is not that easy ...

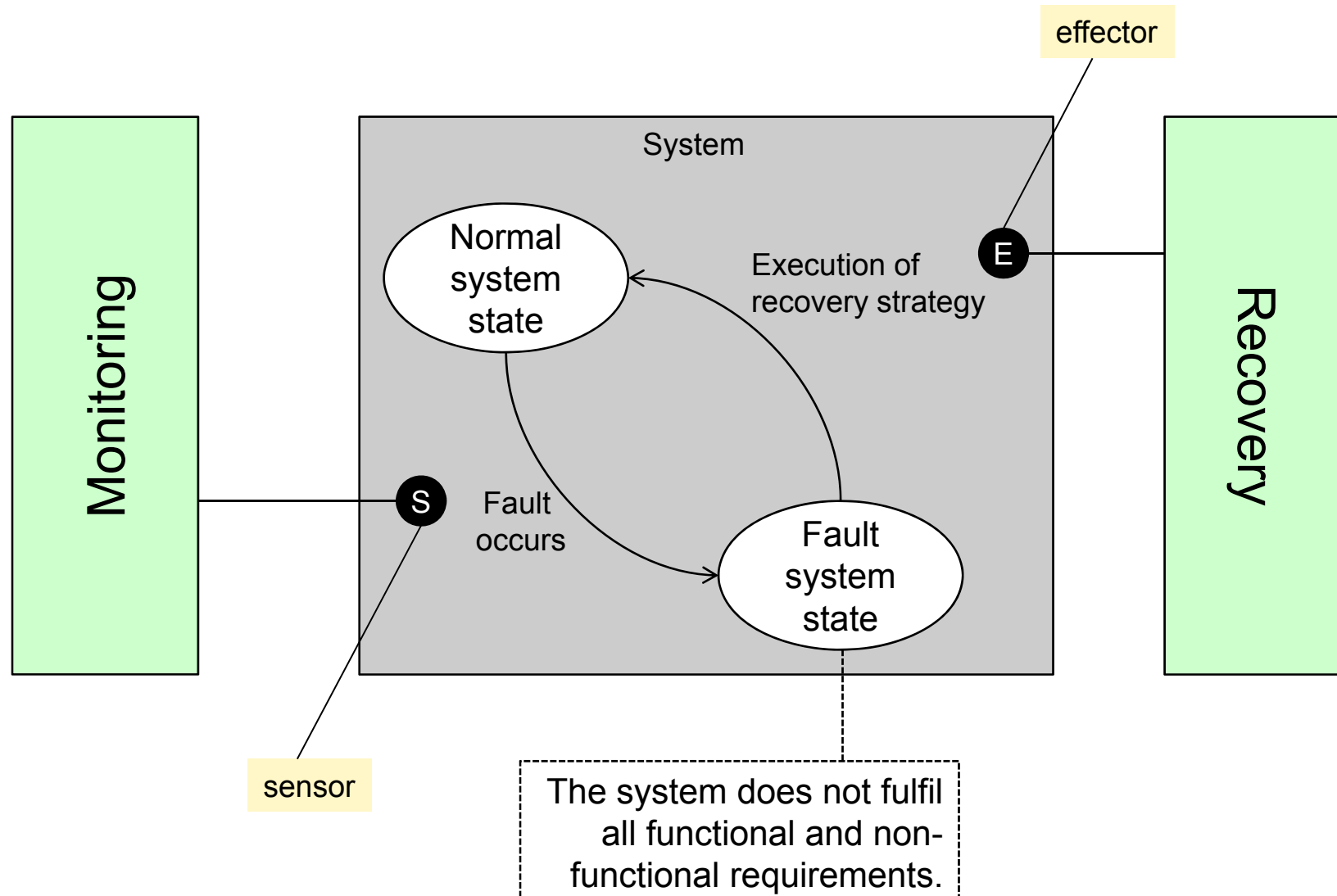


# Self-adaptation meets SOA

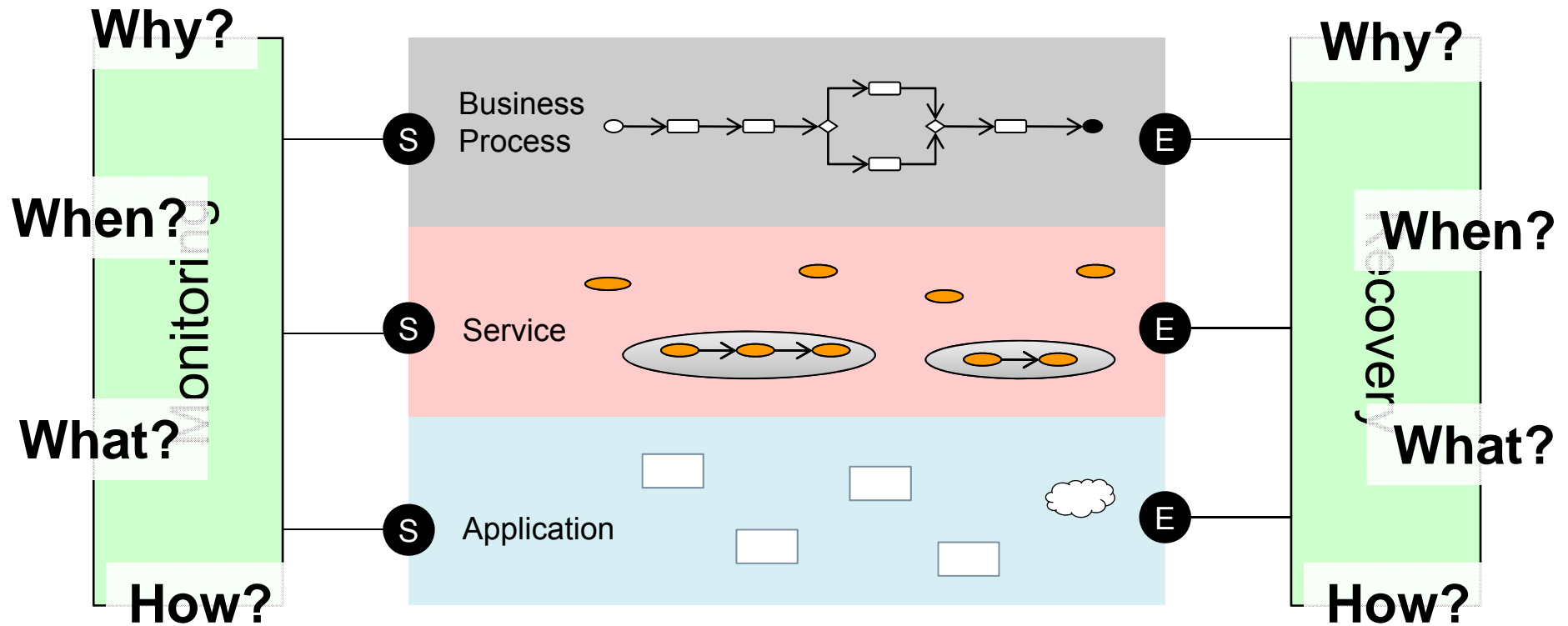


→ Self-recovering from faults occurring at runtime without human intervention

# Abstract view on a self-recovering system



# Self-recovering Business Applications

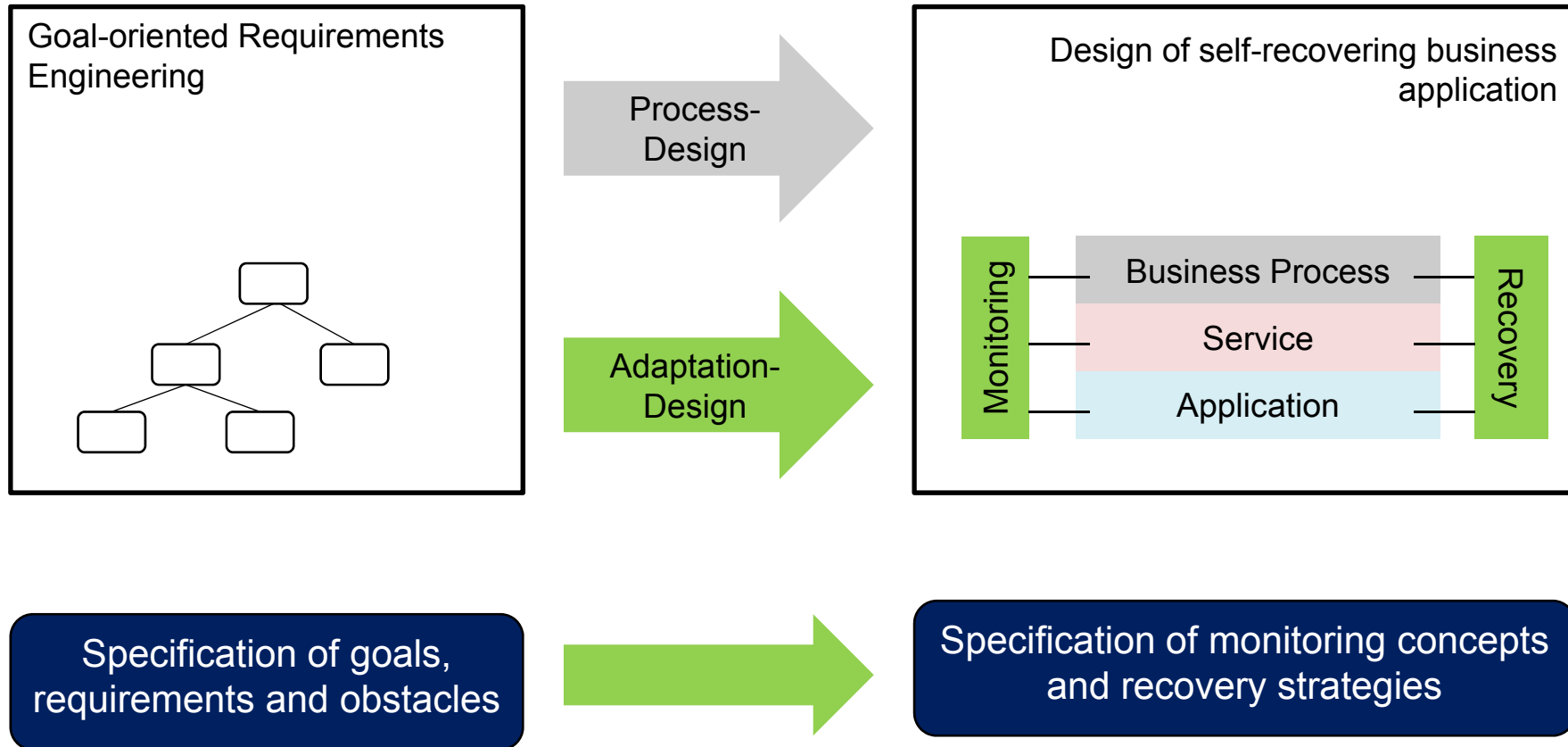


Metzger, A.; Pohl, K.  
 Towards the Next Generation of Service-Based Systems: The S-Cube Research Framework  
 In *Advanced Information Systems Engineering*, 2009.

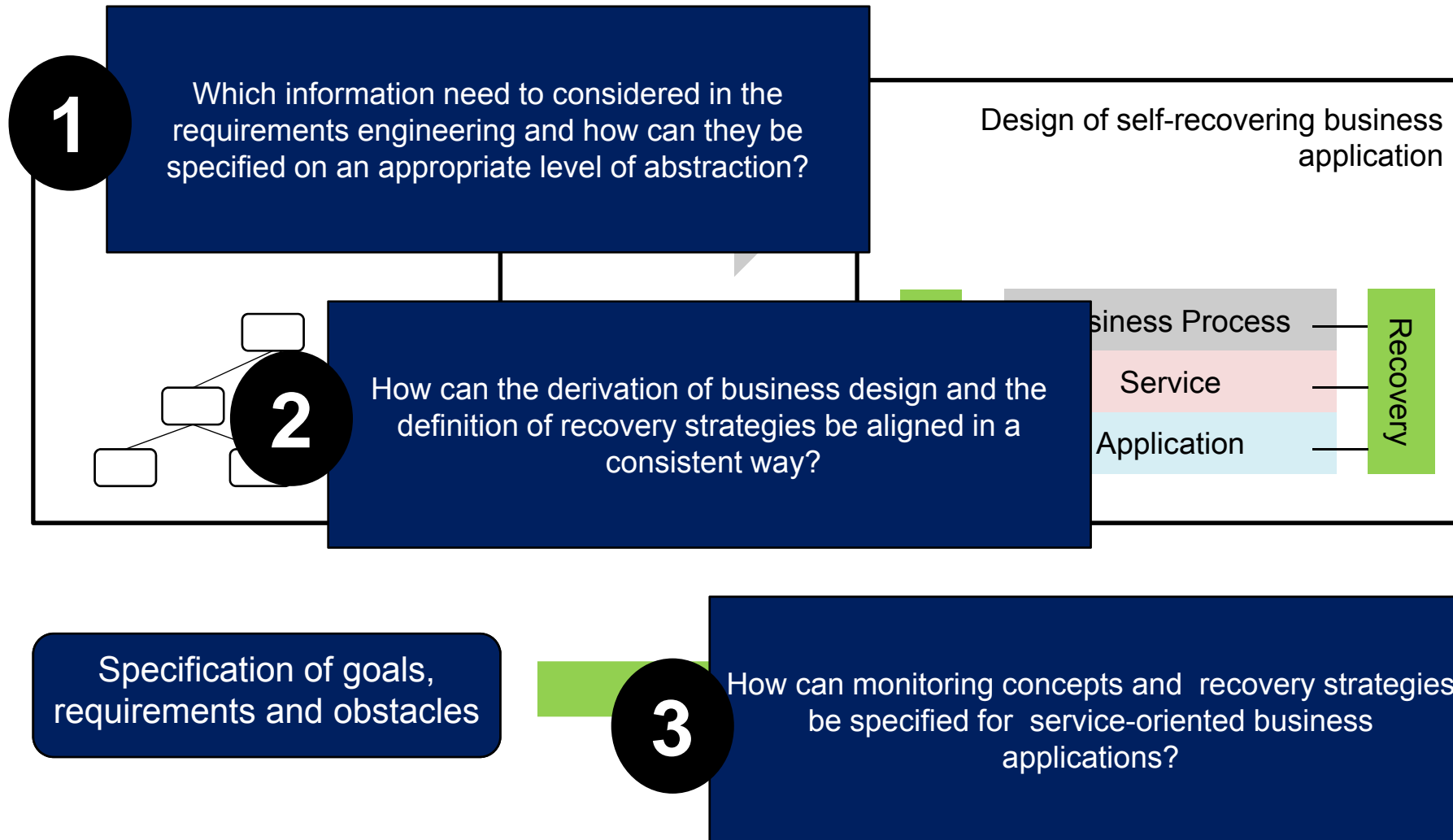
# How to answer these questions?



Benjamin Nagel



# How to answer these questions?



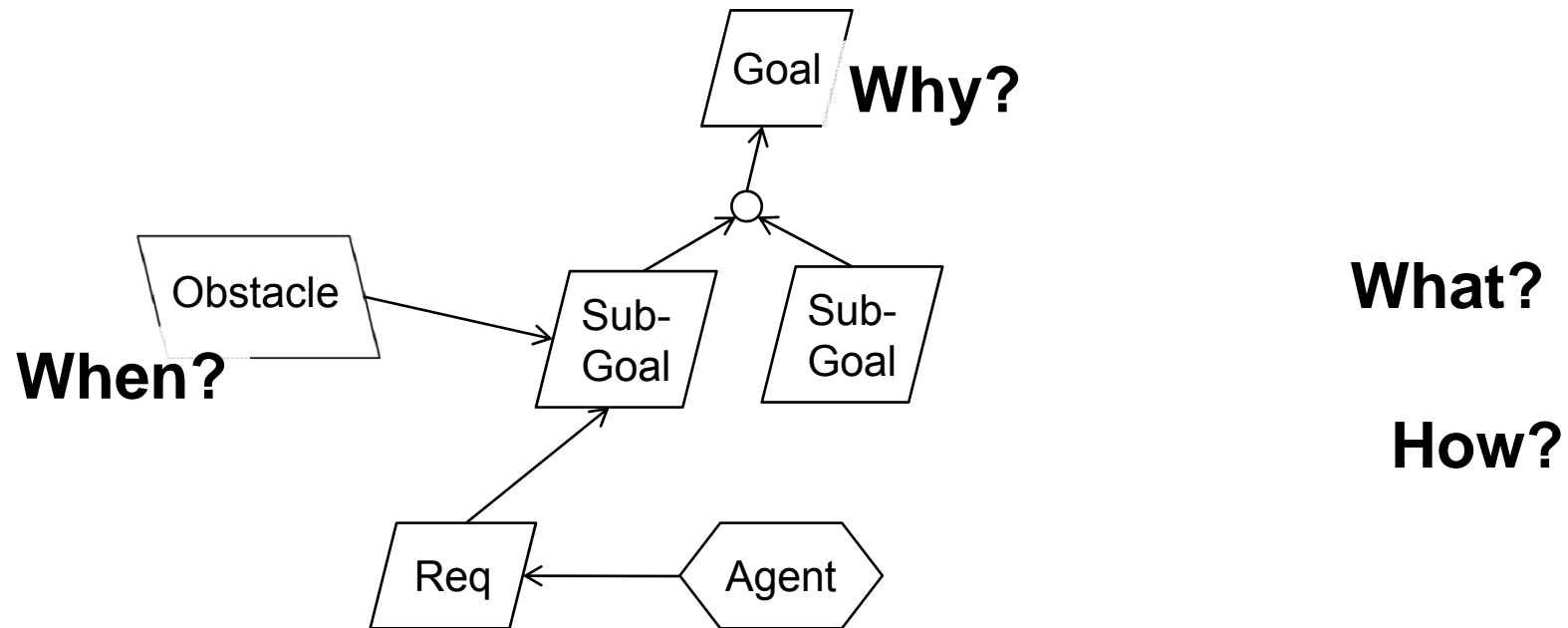
Extended requirements specification

## Existing work of goal-oriented RE

KAOS [Dardenne1993]

i\* [Yu1997], Tropos [Besciani2004]

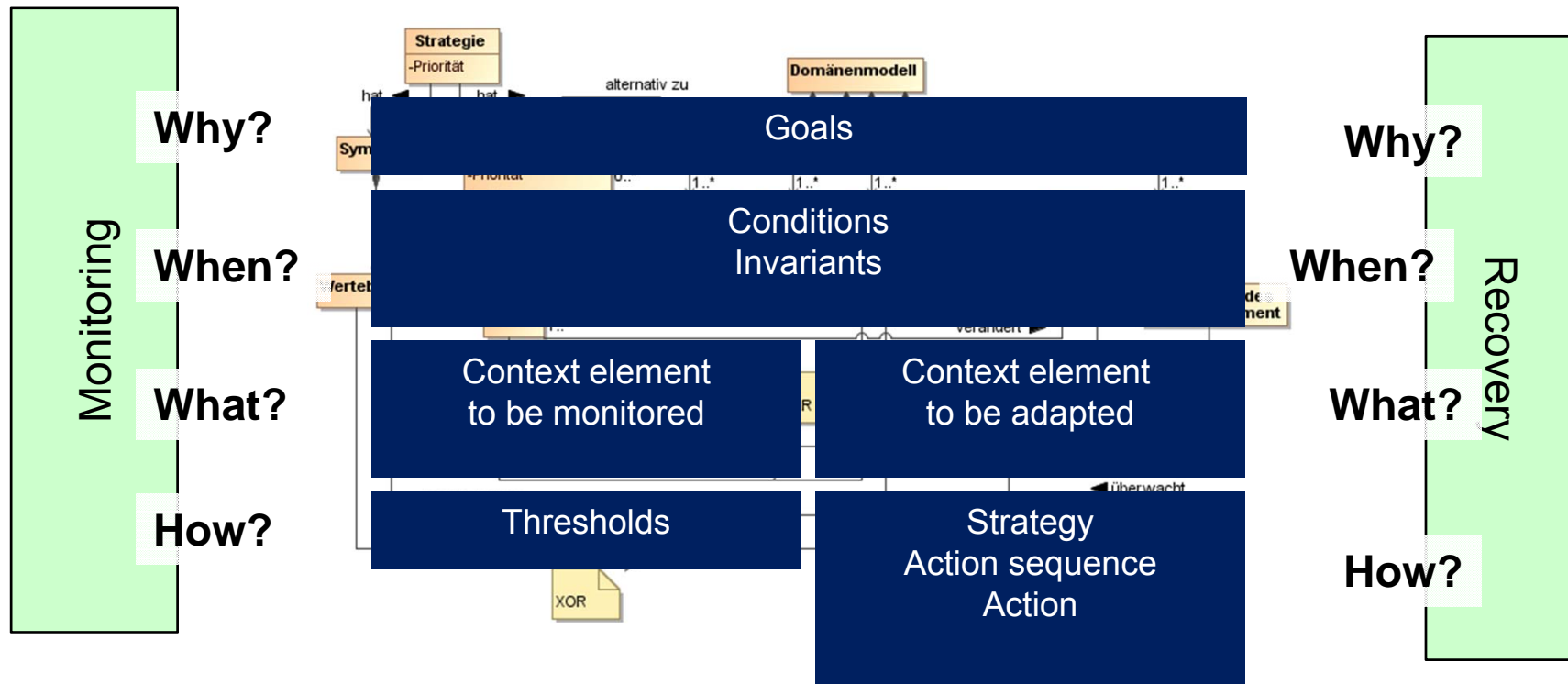
Combined approach of KAOS & RELAX [Cheng2009]





## Extending KAOS with adaptive aspects

Extended requirements specification



Derivation  
methodology

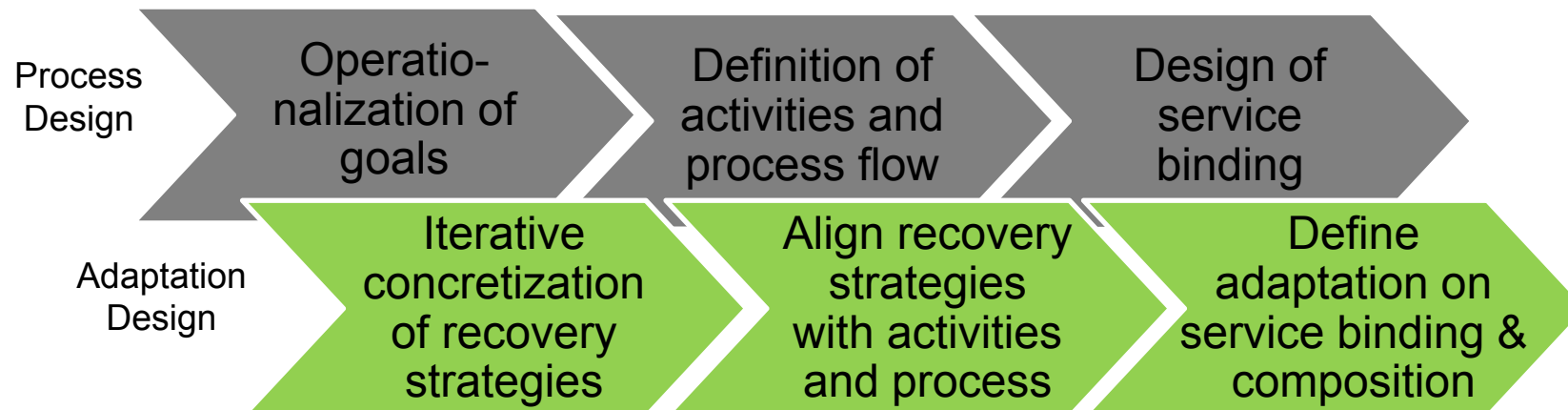
## Existing work

From goals to processes [Pasquale2009]

From goals to software design [Lamsweerde2003],  
[Yu2008]

Agent-oriented approach [Morandini2008]

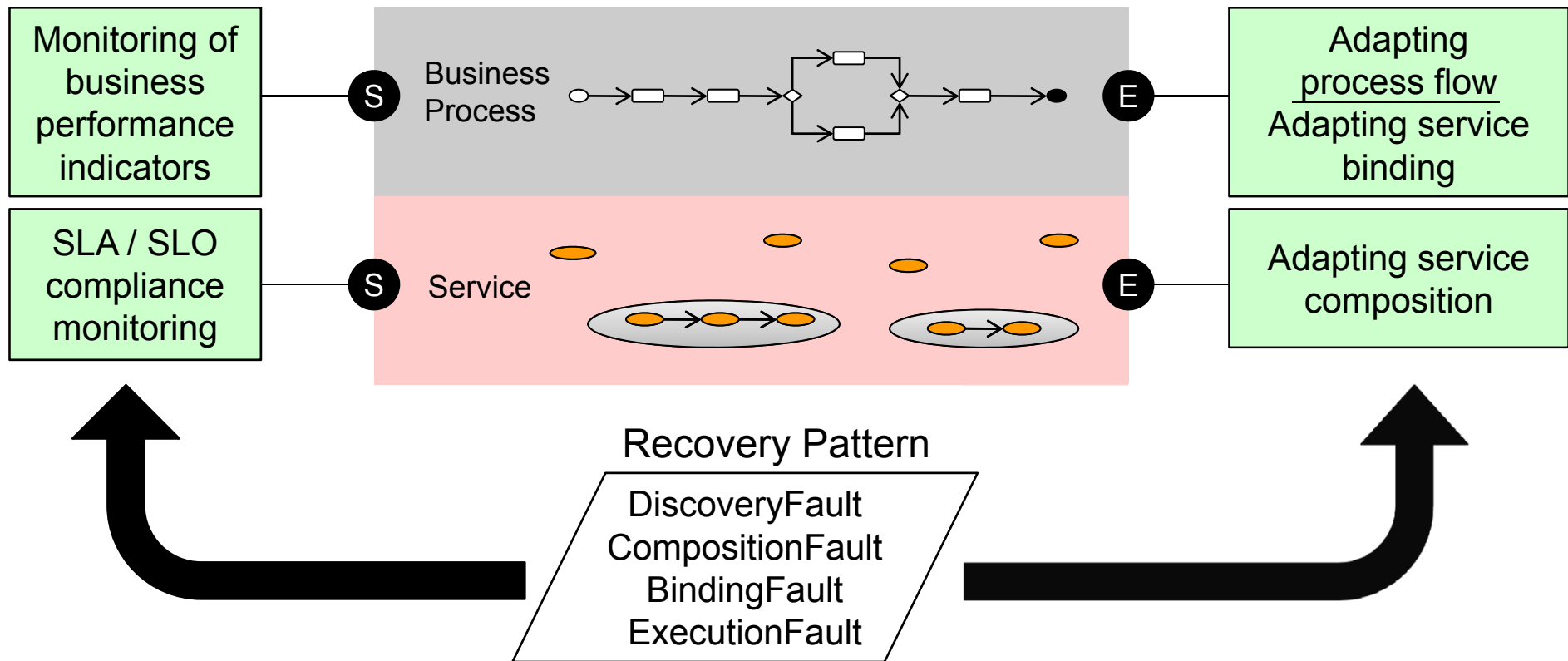
Design pattern [Ramirez2010]



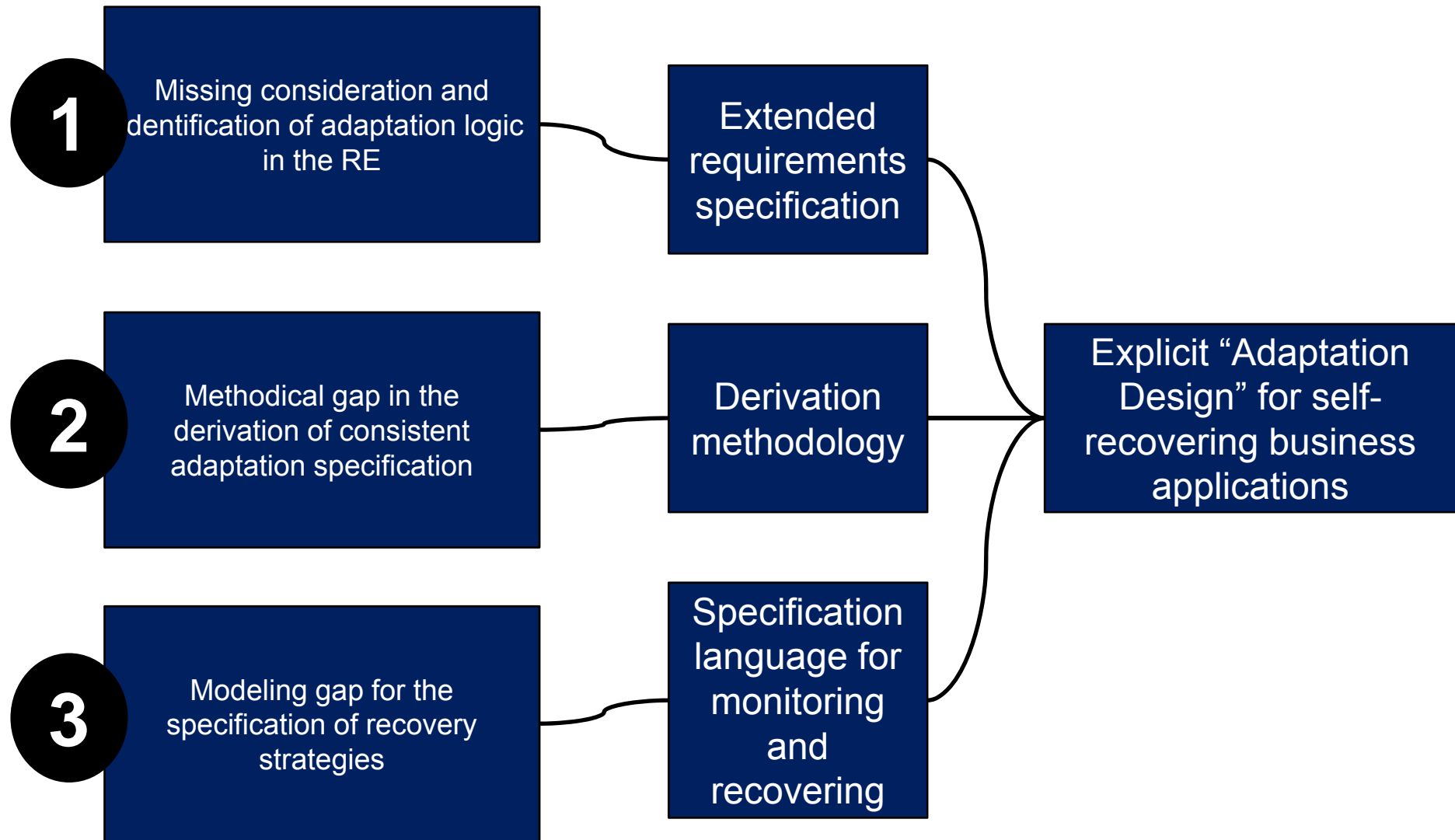
# Recovery Strategies

- Formal specification of monitoring concepts and recovery strategies
- Inter- and intra-layer adaptation

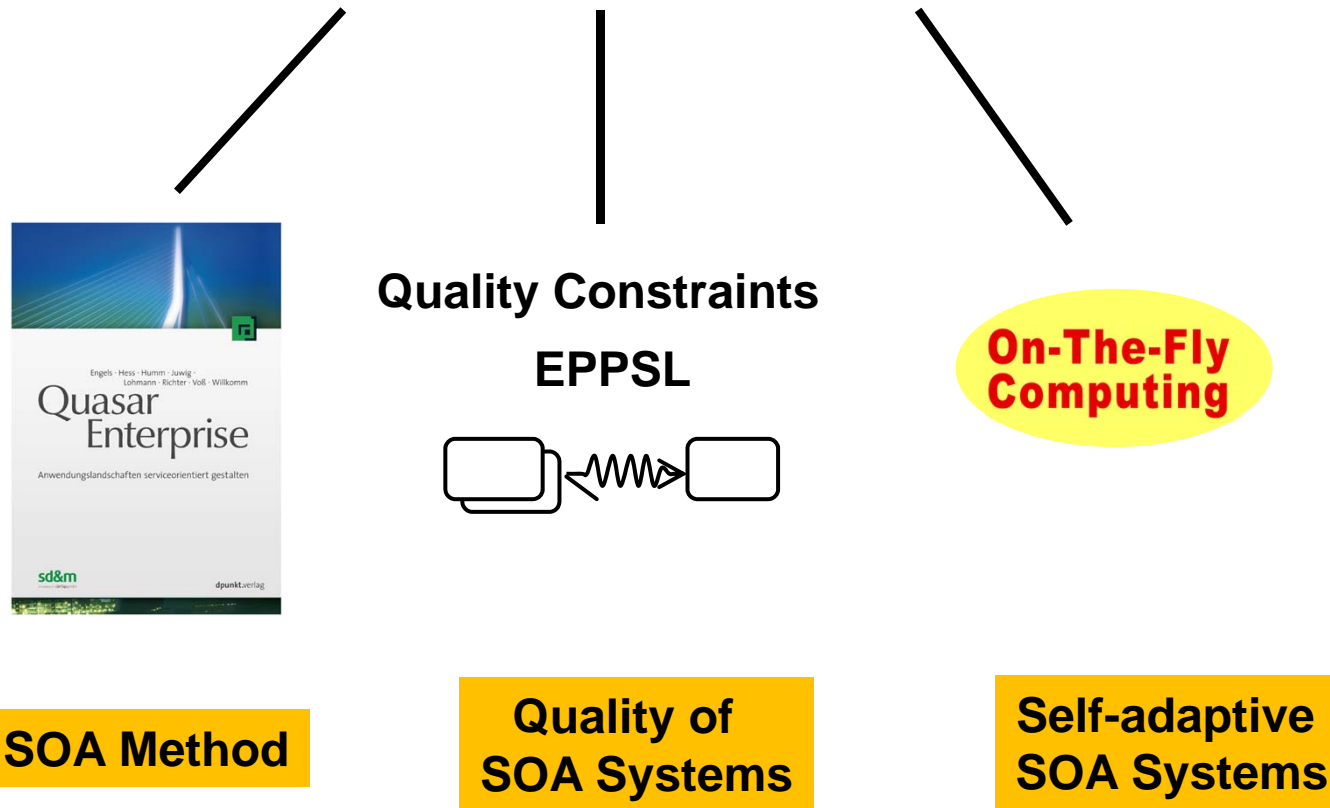
Specification of recovery strategies



# Problem – Solution Mapping



## Service-oriented Architecture – Experiences, Ideas, Innovations



**Thank you very much for your attention!**



**Questions?**  
**engels@upb.de**